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# REVIEW

# Nanostructured ceria-based materials: synthesis, properties, and applications

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The controllable synthesis of nanostructured CeO<sub>2</sub>-based materials is an imperative issue for environment- and energy-related applications. In this review, we present the recent technological and theoretical advances related to the CeO<sub>2</sub>-based nanomaterials, with a focus on the synthesis from one dimensional to mesoporous ceria as well as the properties from defect chemistry to nano-size effects. Seven extensively studied aspects regarding the applications of nanostructured ceria-based materials are selectively surveyed as well. New experimental approaches have been demonstrated with an atomic scale resolution characterization. Density functional theory (DFT) calculations can provide insight into the rational design of highly reactive catalysts and understanding of the interactions between the noble metal and ceria support. Achieving desired morphologies with designed crystal facets and oxygen vacancy clusters in ceria *via* controlled synthesis process is quite important for highly active catalysts. Finally, remarks on the challenges and perspectives on this exciting field are proposed.

# 1. Introduction

As defined by IUPAC, rare earth elements include a set of seventeen chemical elements in the periodic table, specifically the fifteen lanthanides along with scandium and yttrium. Among the rare earth family, cerium (Ce) is the most abundant element. Cerium is more abundant in the Earth's crust (66.5 ppm) than that of copper (60 ppm) or tin (2.3 ppm).<sup>1-3</sup> The electron configuration of cerium is [Xe]  $4f^26s^2$  with two common valence state cerium(III) and cerium(IV). With a high abundance, cerium

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<sup>b</sup>Key Laboratory for Renewable Energy, Chinese Academy of Sciences, Beijing Key Laboratory for New Energy Materials and Devices, Beijing 100190, China oxide (CeO<sub>2</sub>) is a technologically important material due to its wide applications as a promoter in three-way catalysts (TWCs) for the elimination of toxic auto-exhaust gases,<sup>4,5</sup> low-temperature water-gas shift (WGS) reaction,6,7 oxygen sensors,8,9 oxygen permeation membrane systems,<sup>10,11</sup> fuel cells,<sup>12-15</sup> glass-polishing materials,16,17 electrochromic thin-film application,18-20 ultraviolet absorbent,<sup>21</sup> as well as biotechnology, environmental chemistry, and medicine.<sup>22,23</sup> With a decrease in particle size, there usually are high densities of interfaces in nanocrystalline solids. The energetics for defect formation may be substantially reduced in nanocrystalline oxides leading to markedly increased levels of nonstoichiometry and electronic carrier generation.<sup>24</sup> Therefore, nanostructured CeO<sub>2</sub> has attracted much attention due to improvements in the redox properties, transport properties and surface to volume ratio with respect to the bulk materials. A vast number of papers related to the topic of

# **Broader context**

In the context of significant interest and concern on energy and environment, nanostructured cerium oxide (CeO<sub>2</sub>)-based materials are highly desired for solving present energy- and environment-related issues due to the improvements in redox properties, transport properties and surface to volume ratio with respect to the bulk materials. Ceria is one of the most studied metal oxides and it has been widely used in clean energy, environmental protection and remediation, typically including as a promoter in three-way catalysts (TWCs) for the elimination of toxic auto-exhaust gases, low-temperature water-gas shift (WGS) reaction, solid oxide fuel cells (SOFCs), solar-driven thermochemical CO<sub>2</sub> reduction, biomass reforming, as well as biotechnology, environmental chemistry, and medicine. Most of these applications are related to the rapid formation and elimination of oxygen vacancy in CeO<sub>2</sub> that endows it with a high oxygen storage capacity. New experimental approaches with an atomic scale resolution and density functional theory (DFT) calculations can provide insight into the rational design of highly reactive catalysts and understanding of the interactions between the noble metal and ceria support. In this paper, we attempt to provide an overview of present research progresses in the field of controllable synthesis of nanostructured ceria with various morphologies, their unique properties, as well as a few typical applications and theory study.

nanostructured ceria and their applications have been published in the past decade. Especially, Bumajdad et al.25 reviewed recent research on the synthesis of cerium oxide in colloidal dispersions media for obtaining high surface area catalyst materials. Yan et al.<sup>26</sup> extensively reviewed controlled synthesis and assembly of ceria-based nanomaterials. Yan's group also demonstrated applications of coordination chemistry principle in the synthesis and assembly of rare earth nanocrystals, particularly the coordination effect on the control of structure/microstructure/ texture, surface/interface, particle size and morphology.<sup>27</sup> Traversa and Esposito<sup>28</sup> demonstrated ceria microstructures for specific ionic device applications that can be tuned by a combined effect of powder grain size, dopant content, and sintering temperature-time profile. In addition, Vivier and Duprez<sup>29</sup> reviewed the applications of ceria-based solid catalysts in various organic synthesis reactions. Guo and Waser<sup>30</sup> reviewed the electrical properties of the grain boundaries of acceptor-doped zirconia and ceria. However, the former two papers mainly focus on the controlled synthesis and assembly of ceria-based nanomaterials, while the latter two papers are not involved in nanostructured ceria materials. This critical review aims to provide an overview of present research progress in the field of synthesis of nanostructured ceria with various morphologies, their unique properties, as well as a few typical applications and theory study. Regrettably, it is not possible to cover all aspects and references in the literature. It is not our intention to provide an exhaustive review of the entire field.

## 2. Properties

#### 2.1. Material properties and defect chemistry of ceria

CeO<sub>2</sub> crystallizes in the fluorite crystal structure with space group Fm3m over the temperature range from room temperature to the melting point. The fluorite structure consists of a face-centered cubic (f.c.c.) unit cell of cations with anions occupying the octahedral interstitial sites. This can also be seen as a superposition of a f.c.c. lattice of cations (Ce<sup>4+</sup>) with lattice constant *a*,



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Germany; then Institute for Fuel Cell Innovation, National Research Council Canada as a Research Associate; and he worked as a postdoctoral research fellow with Prof. John B. Goodenough at The University of Texas at Austin in 2010–2011. His research interests include the synthesis and characterization of nanostructured materials and their applications in energy conversion and storage (fuel cells and lithium-ion batteries). and a simple cubic lattice of anions (O<sup>2–</sup>) with lattice constant  $a/2.^{31}$  In this structure (shown schematically in Fig. 1), each cerium cation is coordinated by eight nearest-neighbor oxygen anions, while each oxygen anion is coordinated by four nearest-neighbor cerium cations.<sup>31</sup> The color of CeO<sub>2</sub> is pale yellow, probably due to Ce(IV)–O(II) charge transfer, while non-stoichiometric CeO<sub>2- $\delta}$ </sub> (0< $\delta$ < 0.5) is blue and turns almost black.<sup>32</sup> Ceria in the fluorite structure exhibits a few defects depending on partial pressure of oxygen, which is the intrinsic property for its potentials in catalysis, energy conversion, and other applications. Under the reduction extreme, CeO<sub>2</sub> becomes the hexagonal sesquioxide Ce<sub>2</sub>O<sub>3</sub> (*P* $\overline{3}m1$ ). Some of the physical properties of CeO<sub>2</sub> are listed in Table 1.<sup>32–36</sup>

Like stabilized zirconia, cerium oxide can accommodate a high oxygen deficiency by the substitution of lower valent elements on the cation sublattice. This leads to high oxygen ion conductivities and its interest as a solid electrolyte in solid oxide fuel cells.<sup>12,30</sup> At the same time, CeO<sub>2</sub> is also well known to release significant levels of oxygen at low oxygen partial pressures ( $P_{O2}$ ) and elevated temperatures (*e.g.* <~10<sup>-15</sup> atm O<sub>2</sub> at 800 °C) leading to a mixed ionic electronic conductivity (MIEC). This can be described by the following defect reaction, written in Kröger–Vink notation.<sup>37</sup>

$$O_0^{\times} \leftrightarrow V_0^{\cdot \cdot} + 2e' + \frac{1}{2}O_2(g)$$
 (1)

where  $O_{0}^{\times}$ ,  $V_{0}$ , and e' are oxide ions in the lattice, doubly charged oxygen vacancies, and electrons in the conduction band made up of Ce 4f energy states, respectively.<sup>38,39</sup> The electrons formed during reduction are often treated as being localized on cerium, thereby converting Ce<sup>4+</sup> to Ce<sup>3+</sup> ions.<sup>38</sup> This is consistent with the demonstration that electrons in ceria can be described as small polarons, *i.e.* the motion of electrons through the lattice is achieved *via* a thermally activated hopping process.<sup>40</sup> Defects such as oxygen vacancies dominate the electronic and chemical properties of ceria. The normal definition of oxygen vacancy concentration is the stoichiometric vacancy concentration, which is determined by the solute concentration through the electroneutrality condition; however, for transport measurements only the mobile vacancy concentration should be taken into account

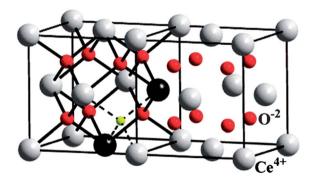


Fig. 1 The crystal structure of doped ceria. In the right cube, the undoped  $CeO_2$  is shown, whereas in the left cube, two of the cerium ions are replaced by trivalent ions from the lanthanide series (dark spheres), between which an oxygen vacancy appears (indicated by a small sphere). (Reprinted from ref. 31 with permission from the Copyright (2006) National Academy of Sciences, USA).

Table 1Some physical properties of pure stoichiometric  $CeO_2$  (ref. 32–36)

Property	Value/unit
Lattice parameter	a = 5.411  Å
Molar mass	172.12 g mol <sup>-1</sup>
Molar volume	0.158 nm
Density <sup>32</sup>	$7.22 \text{ g cm}^{-3}$
Melting point <sup>32</sup>	<i>ca.</i> 2750 K
Boiling point	<i>ca.</i> 3773 K
Solubility in water	Insoluble
Specific heat <sup>32</sup>	<i>ca.</i> 460 J kg <sup><math>-1</math></sup> K <sup><math>-1</math></sup>
Thermal conductivity <sup>32</sup>	<i>ca.</i> 12 W $m^{-1}$ K <sup>-1</sup>
Refractive index <sup>32</sup>	ca. 2.1 visible
	ca. 2.2 infrared
Relative dielectric constant (0.5–50 MHz) <sup>32</sup>	11
Youngs' modulus <sup>32</sup>	<i>ca.</i> $165 \times 10^9 \text{ N m}^{-2}$
Poisson's ratio <sup>32</sup>	ca. 0.3
Hardness <sup>32</sup>	5–6
Electronic conductivity (25 °C) <sup>33</sup>	$2.48 \times 10^{-8} \ { m S} \ { m cm}^{-1}$
Ionic conductivity $(1000 ^{\circ}\text{C}, \text{ in air})^{34,35}$	$3.13 \times 10^{-3} \mathrm{~S~cm^{-1}}$
(600 °C, in air) <sup>34</sup>	$4.08 \times 10^{-5} \ \mathrm{S \ cm^{-1}}$
$(600 ^{\circ}\mathrm{C}, \mathrm{in} \mathrm{H}_2)^{34}$	$1.11 \times 10^{-3} \mathrm{~S~cm^{-1}}$
Formation energy (25 °C, 1 atm) <sup>36</sup>	-1025.379 kJ mol-1
Magnetic susceptibility $(\chi_{mol})^{36}$	$26 \times 10^{-6} \text{ cm}^3 \text{ mol}^{-1}$

when oxygen vacancy associates form because only these "free" vacancies are mobile and can contribute to oxygen ion transport in the solid solutions.<sup>41</sup>

Oxygen vacancies play significant roles in the reactivity of the cerium oxide surface for the catalytic oxidation of carbon monoxide.42-44 This enhanced activity is often attributed to the oxygen storage capacity (OSC) of ceria, which is closely linked to how easily the cerium can change oxidation states. This is partially due to the similar energy of the 4f and 5d electronic states and low potential energy barrier to electron density distribution between them.<sup>45</sup> The valence state of the cerium is commonly discussed using the ratio Ce<sup>3+/</sup>  $(Ce^{3+} + Ce^{4+})$  (hereafter Ce<sup>3+</sup> fraction).<sup>46,47</sup> The formation of oxygen vacancy defects results from a decrease in the oxygen content in the cerium oxide. Since oxygen has an oxidation state of 2<sup>-</sup> in the stoichiometric CeO<sub>2</sub>, ceria with a high density of oxygen vacancy defects is expected with an increase in its Ce3+ fraction in order to maintain the electroneutrality.48,49

Cerium oxides are excellent oxygen buffers because of their redox capability.<sup>50</sup> With alterations in the cerium oxidation state, CeO<sub>2</sub> forms oxygen vacancies or defects in the lattice structure by loss of oxygen and/or its electrons. The valence and defect structure of CeO<sub>2</sub> is dynamic and may change spontaneously or in response to physical parameters such as temperature, oxygen partial pressure, and doping with other ions,<sup>51–53</sup> as well as an electrical field,<sup>54</sup> or surface stresses.<sup>55</sup>

The effects of doping on the electrical properties of acceptordoped  $CeO_2$  have been well addressed in ref. 30 by Guo and Waser. In materials with high purity, the effect of the spacecharge depletion layer is dominant; however, in materials of normal purity, the effect of the grain-boundary impurity phase is dominant.

An electrical field can be used to drive the redox process in CeO<sub>2</sub>. The dynamic changes taking place during the electrically driven redox reaction were recently imaged by Wang's

Group with an in situ high-resolution transmission electron microscopy, as shown in Fig. 2.54 The reversible phase transformations due to the migration of oxygen vacancies have been reproducibly achieved. These results could lead to the low-temperature operation of catalysts by means of the electrical field for the purification of automobile emissions of pollutants, oxygen generation, and intermediate-temperature solid oxide fuel cells, as well as catalytic reforming. Sekine et al.<sup>56</sup> investigated four catalytic reactions assisted with an electric field to promote the catalytic activity of CeO<sub>2</sub>-based catalysts. In the presence of an electric field, four reactions of steam reforming of ethanol, decomposition of ethanol, water gas shift, and steam reforming of methane proceeded at a very low temperature of 150 °C. Conversion of the reactant was greatly increased and apparent activation energies for these four reactions were lowered by implementing the electric field to the catalyst bed. This process can produce hydrogen and syngas by using a considerably small energy and has a quick response.

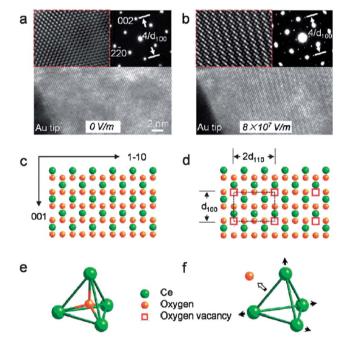
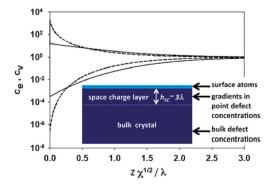


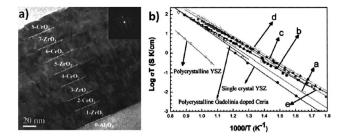
Fig. 2 (a) In situ TEM image of a single-crystal CeO<sub>2</sub> film along the  $\langle 110 \rangle$  zone axis. The enlarged HRTEM image and the corresponding SAED pattern are shown in the upper left and the upper right insets, respectively.  $d_{100}$  is the interplanar spacing of the (100) plane of CeO<sub>2</sub>. (b) The wave-sweeping patterns appeared when a bias of 6 V ( $E \approx 8 \times 10^7$  V  $m^{-1}$ ) was applied across the CeO<sub>2</sub> film, indicating the decomposition of the cerium oxide. Superlattice reflections (upper left) and extra diffraction spots (upper right) were observed in this case. (c) Solid-sphere model of CeO2 in a perfect fluoride structure. This drawing represents the projection along the (110) direction. (d) Solid-sphere model showing the formation of oxygen vacancies. The rectangles indicate the vacancy superlattices. (e) Cerium oxide can be viewed as tetrahedral with cations at the corners and oxygen anions at the centers. (f) Cerium oxide with an oxygen vacancy will cause the CeO2 film cations to repel each other because of coulombic interactions. The arrowhead indicates the displacement direction of the oxygen anion. (Reprinted with permission from ref. 54. Copyright 2010 American Chemical Society).

Space charge models are widely used to describe the electrochemical behavior of surfaces and interfaces in ionic solids57,58 which are based on the fact that defect formation energies at surfaces differ from those in the bulk. This leads to an electric gradient near the surface, to maintain a thermodynamic equilibrium. The width of this space charge region is related to the Debye screening length,<sup>24,58,59</sup> which is determined by charge carrier concentration. Sheldon and Shenov<sup>55</sup> recently found that near-surface variations in point defects can induce stresses that are large enough to significantly alter thermodynamic equilibrium (Fig. 3). Volume changes related to point defects in space charge layers can produce strains significantly changing the thermodynamic equilibrium near surfaces in ionic solids.55 For example, near-surface compressive stresses exceeding -10 GPa have been predicted for ceria by Sheldon et al.55 The magnitude of this effect is consistent with anomalous lattice parameter increases that occur in ceria nanoparticles.<sup>60,61</sup> These stresses should substantially alter defect concentrations and key transport properties in a wide range of materials. De Souza et al.62 employed static atomistic simulations, based on empirical pairpotentials (EPP) to determine the effect of strain on the energetic barriers for oxygen-vacancy migration in CeO<sub>2</sub>-based electrolytes. They found that a biaxial, tensile strain of 4% may increase the in-plane conductivity at T = 500 K by about four orders of magnitude. Space-charge accumulation is unlikely to enhance significantly the conductivity of a  $CeO_2-M_2O_3$  (M = Gd, Sm) fluorite material.

Besides optimizing the composition, tailoring of the microstructure can also be used to increase the conductivity of oxides. Two strategies are usually adopted: (1) increasing the density of homo-interfaces (grain boundaries) drastically, by moving from microcrystalline to nanocrystalline; (2) creating specific heterointerfaces, by employing epitaxial thin-film geometries.<sup>62</sup> A conductivity increase of about one order of magnitude in Gddoped CeO<sub>2</sub> and ZrO<sub>2</sub> layers with increasing the number of interfaces by decreasing the thickness of the layer to 15 nm (Fig. 4) has been observed by Azad *et al.*<sup>63</sup> TEM observation revealed dislocations both at the interface and in the layers, regardless of the number of interfaces. However, the dislocations at the interfaces were separated by a distance much longer than



**Fig. 3** The solid lines show the defect concentration profiles. Total strain is divided into oxygen vacancy (subscript V) and extra electron (subscript e) contributions.  $\chi$  is the bulk nonstoichiometry in CeO<sub>2- $\chi$ </sub>. *h*<sub>SC</sub> is typically 2 to 3 times the Debye length,  $\lambda/\sqrt{\chi}$ . The analogous results without considering stress contributions are shown as dashed lines. (Reprinted from ref. 55 with permission from American Physical Society.)



**Fig. 4** (a) TEM image showing a cross-sectional view of an eight-layer  $Gd_2O_3$ -doped CeO<sub>2</sub> and ZrO<sub>2</sub> film grown on  $Al_2O_3$  (0001), (b) Arrhenius plots of oxygen ionic conductivity of two-(a), four-(b), eight-(c), ten-(d), and sixteen-layer (e) gadolinia-doped ceria–zirconia thin films. (Reprinted from ref. 63 with permission from American Institute of Physics.)

that expected considering the lattice mismatch between the two phases. The authors explained this finding by considering the highly textured structure of the layers (epitaxially (111)-oriented) and that the lattice misfit was also accommodated by the dislocations formed in the layer bulk.<sup>63,64</sup> However, the conductivity decreased for the layer thickness below 15 nm. It is attributed to the lattice strain and extended defects due to the lattice mismatch.<sup>63</sup>

#### 2.2. Nano-size effects

With a decrease in particle size, ceria nanoparticles demonstrate the formation of more oxygen vacancies.<sup>47,65</sup> The large surface area to volume ratio existing in a nanoparticle enables CeO<sub>2</sub> to act catalytically, commonly resulting in unique properties. For example, ceria nanoparticles (NPs) of diameter about 3-4 nm supporting Au lead to an increase of two orders of magnitude in the catalytic activity for CO oxidation with respect to the Au-CeO<sub>2</sub> catalysts prepared by coprecipitation or by Au deposition on a regular bulk cerium oxide support.66 Ceria nanoparticles with a similar size were also shown to supply reactive oxygen from the NPs to the supported gold species.<sup>67</sup> These results imply that the O vacancy formation energy  $(E_{\rm f})$  in ceria crystallites of a particular size becomes lower than that in extended structures in order to enable such an extraordinary increase of the NP reactivity at low temperature.<sup>68</sup> The properties of the support can change drastically with the particle size decreasing to the nanosize region, turning an inactive support into a highly active species. These examples clearly demonstrate it is feasible to tune the specific reactivity of ceria nanoparticles by controlling their size.

Moreover, nanocrystalline CeO<sub>2</sub> materials also possess some other new properties compared to the coarsened bulk materials, such as the enhanced electronic conductivity,<sup>69,70</sup> Raman-allowed modes shifting and broadening,<sup>71</sup> the size-induced lattice relaxation,<sup>60,61</sup> the pressure-induced phase transformation,<sup>72</sup> and the blue shift in ultraviolet absorption spectra.<sup>73</sup> The interested reader can refer to these references and references therein.

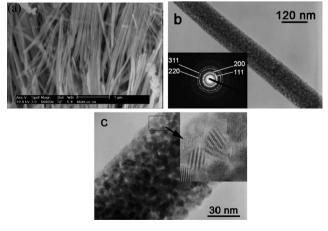
#### 3. Synthesis

During the last two decades, much effort has been devoted to preparing  $CeO_2$  nanoparticles and films. The methods include hydrolysis,<sup>74</sup> precipitation,<sup>71,75–77</sup> thermal deposition,<sup>78</sup>

combustion or flame-synthesis,79,80 sol-gel,20,81 hydrothermal or solvothermal,<sup>82,83</sup> microemulsion method,<sup>84,85</sup> gas condensation,<sup>86</sup> sonochemical synthesis,<sup>87</sup> electrochemical synthesis,<sup>88</sup> and so on. It is generally believed that the evolution of a solid from a solution phase involves two steps in crystallization: nucleation and growth.<sup>89</sup> Important shape guiding parameters for the construction of nanocrystal architectures have been discussed by Cheon *et al.*<sup>90</sup> The nucleating seeds, kinetic control, temperature, and selective activation energy modulations of surfaces through the use of capping molecules have been found to be crucial for the anisotropic growth.90 By delicately balancing and controlling these parameters, it is possible to control the shapes of nanocrystals. Highly active ceria based catalysts can be rationally designed by controlling the synthesis process to produce desired morphologies and microstructures with controlled oxygen vacancies.

# 3.1. One-dimensional nanostructured CeO<sub>2</sub>

One-dimensional (1D) nanostructured CeO<sub>2</sub> has been intensively investigated due to their novel physical properties and potential applications. 1D nanostructured materials, such as nanowires, nanorods, and nanotubes, offer opportunities for fundamental research concerning the influence of size and dimensionality of a material on its physical and chemical properties.91 They may also be promising building blocks for nanoscale devices. CeO<sub>2</sub> nanowires/rods first synthesized by using hard templates, such as porous anodic alumina membranes.92,93 To obtain 1D nanostructures by anisotropic growth, the crystal growth pathway can also be controlled thermodynamically and kinetically with different mediators, e.g., solvents, surfactants, mineralizers, concentration, temperature, etc. Our group, for the first time, reported polycrystalline CeO<sub>2</sub> nanowires that were synthesized via a solution-phase route with sodium bis(2-ethylhexyl) sulfosuccinate as a structure-directing agent.94 The HRTEM image indicates clearly that the nanowires are composed of many tiny grains at different orientations (Fig. 5). The porous nanowires may enable the gas to access all the surfaces of CeO<sub>2</sub> nanoparticles contained in the device unit.



**Fig. 5** Typical SEM (a), TEM (b) and HRTEM (c) images of the  $CeO_2$  nanowires, the inset in (c) is the SAED pattern of single  $CeO_2$  nanowires. (Reprinted from ref. 94 with permission from Chemical Society Japan.)

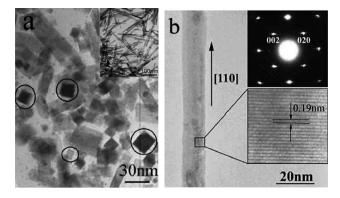
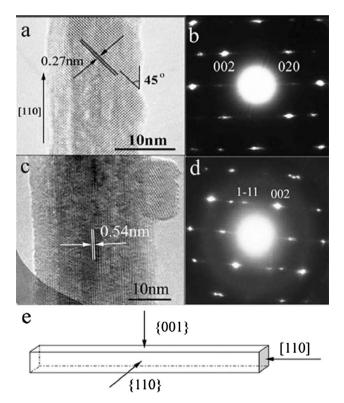


Fig. 6 (a) The cross-sectional TEM image of the CeO<sub>2</sub> nanorods, the inset shows a low magnified TEM image; (b) HRTEM image of a typical CeO<sub>2</sub> nanorod, the upper right inset shows a selected area electron diffraction (SAED) pattern, and the lower inset shows a magnified view of the selected area of the nanorod. (Reprinted from ref. 98 with permission from Elsevier Ltd.)

 $CeO_2$  nanorods were also prepared by solvothermal methods.<sup>95–98</sup> A typical cross-sectional TEM image of the CeO<sub>2</sub> nanorods is shown in Fig. 6a.<sup>98</sup> It clearly presents their rectangular cross section, indicating that each nanorod has four side surfaces. Fig. 6b shows the as-prepared CeO<sub>2</sub> nanorods are single crystalline and the preferential growth direction is [110].

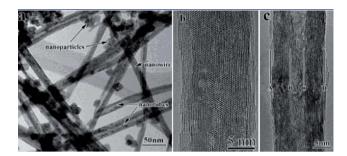
Fig. 7a shows a 2D crystal lattice image of one typical nanorod with a growth axis perpendicular to the electron beam. It



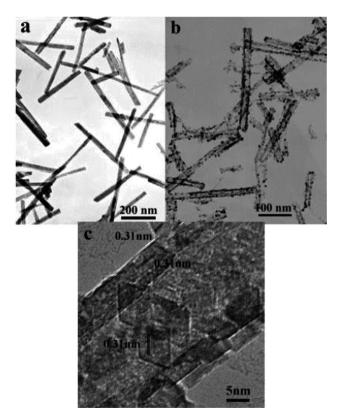
**Fig. 7** (a) The magnified HRTEM image of a typical nanorod view along [001] direction, (b) the SAED pattern of (a); (c) the magnified HRTEM image of a typical nanorod view along [110]; (d) the SAED pattern of (c); (e) the structural models of CeO<sub>2</sub> nanorods. (Reprinted from ref. 98 with permission from Elsevier Ltd.)

indicates that CeO<sub>2</sub> nanorods have well-defined reactive planes {001} and {110} planes.<sup>98</sup> This study also indicated that the catalytic activity toward CO oxidation was greatly influenced by the specific crystal planes and oxygen vacancy clusters with larger sizes.<sup>98</sup>

Han *et al.*<sup>99</sup> synthesized ceria nanotubes *via* a two-step procedure: precipitation at 100 °C and aging at 0 °C for a long time (45 days). As shown in Fig. 8, there are two kinds of 1 D



**Fig. 8** (a) Typical morphology of the sample. There are three kinds of nanostructures: nanoparticles, nanowires, and nanotubes as marked in the figure. (b) High-resolution image of a nanotube. The thickness of the wall of the nanotube is about 5.5 nm by measuring the spacing between lines A and B or lines C and D. (Reprinted with permission from ref. 99. Copyright 2005 American Chemical Society.)



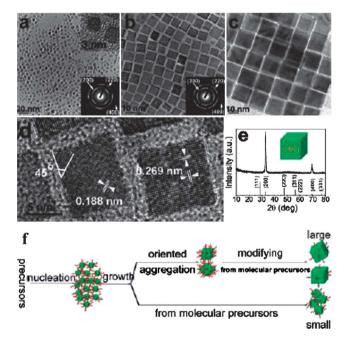
nanostructures of  $\text{CeO}_{2-x}$ , the nanowires with consistent lattice across and the nanotubes with a cylindrical geometry. Tang *et al.*<sup>100</sup> prepared layer-structured rolling Ce(OH)<sub>3</sub> nanotubes through an alkali thermal-treatment process under oxygen-free conditions and ceria nanotubes by annealing Ce(OH)<sub>3</sub> in a reducing atmosphere. However, these methods were either timeconsuming or required special equipment.

Zhou *et al.*<sup>101</sup> reported a facile rational synthesis of  $CeO_2$  nanotubes with large cavities and thin walls by a simple oxidation-coordination-assisted dissolution process of the Ce(OH)<sub>3</sub> nanotubes/nanorods, as shown in Fig. 9.

## 3.2. 2D and 3D nanostructured CeO<sub>2</sub>

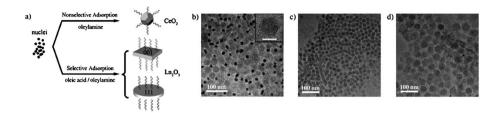
Shape- and size-controlled synthesis of ceria nanocubes bounded by six {200} planes were prepared by Gao's group through a rational one-pot approach.<sup>102</sup> Fig. 10 shows two- and threedimensional self-assemblies of NPs on Si substrates induced by slow evaluation of the particle dispersion. Both shape and size of the ceria NPs can be tuned more conveniently by changing the concentration of the reactants, the amount of stabilizing agents and the water/toluene ratio in the reaction system. Due to the presence of oriented aggregation mediated precursor growth, the synthesized ceria nanocubes exhibit fantastic structural properties (rough {200} surfaces).<sup>102</sup>

Highly dispersible and crystalline rare-earth oxide nanopolyhedra, nanoplates, and nanodisks were synthesized in oleic acid/oleylamine solvents by Yan's group *via* a thermolysis of their benzoylacetonate complexes, as shown in Fig. 11.<sup>103</sup> The



**Fig. 9** (a) TEM image of the newly prepared 1D Ce(OH)<sub>3</sub>. (b) TEM image of the CeO<sub>2</sub> nanotubes synthesized *via* the oxidation-coordination-assisted dissolution process. (c) High-resolution TEM image of the CeO<sub>2</sub> nanotube. (Reprinted with permission from ref. 101. Copyright 2007 American Chemical Society.)

**Fig. 10** TEM images of ceria nanocubes with the average sizes of (a) 4.43, (b) 7.76, and (c) 15.65 nm, the insets are SAED patterns of individual NPs; (d) HRTEM image of the ceria nanocubes; (e) a typical XRD pattern of the ceria nanocubes assembled on a Si wafer; (f) schematic illustration of the oriented aggregation mediated precursor growth mechanism of colloidal NPs. (Reprinted with permission from ref. 102. Copyright 2006 American Chemical Society).



**Fig. 11** (a) Formation of rare-earth oxide nanopolyhedra, nanoplates, and nanodisks. (b)–(d) TEM images of the as-obtained  $Eu_2O_3$ : (b) OA/OM = 1 : 7, 310 °C, 1 h (inset: HRTEM image of an  $Eu_2O_3$  nanoparticle; scale bar: 10 nm); (c) OA/OM = 3 : 5, 310 °C, 20 min; (d) OA/OM = 3 : 5, 330 °C, 1 h. (Reprinted from ref. 103 with permission from John Wiley and Sons.)

obtained products with different morphologies were dependent on the nature of metal cations and the selective adsorption effects of the solvents employed. These nanocrystals also exhibit a striking ability to self-assemble into large-area nanoarrays.

Hyeon *et al.*<sup>104</sup> synthesized uniform-sized ceria nanocrystals with quasispherical, wire, and tadpole shapes from the non-hydrolytic sol–gel reaction of cerium(III) nitrate and diphenyl ether in the presence of appropriate surfactants, as shown in Fig. 12.

2D nanoplates and nanosheets have been paid a lot of attention in recent years because of their special properties. Recently, Murray *et al.* reported a simple solution-phase synthetic method to prepare ultrathin ceria nanoplates in the presence of mineralizers, as shown in Fig. 13.<sup>105</sup> The morphology of nanoplates can be easily controlled by changing reaction parameters, such as precursor ratio, concentration, and reaction time, *etc.* The obtained CeO<sub>2</sub> nanoplates have higher theoretical surface-area to volume ratio and desirable (100) surfaces, exhibit much higher oxygen storage capacity than that of 3D CeO<sub>2</sub> nanomaterials prepared by other methods. The key to this synthesis of ceria

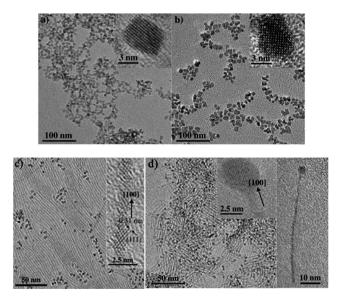
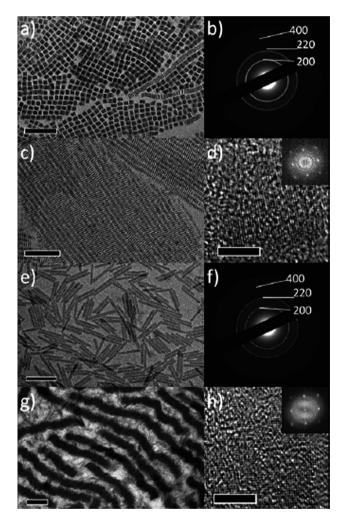


Fig. 12 TEM images of (a) 3.5 nm spherical ceria nanocrystals and (b) 5.2 nm spherical ceria nanocrystals. Insets are their HRTEM images. (c) TEM images of  $1.2 \times 71$  nm wire-shaped ceria nanocrystals. The inset is the HRTEM image of a wire-shaped nanocrystal. (d) TEM images of the tadpole-shaped ceria nanocrystals. The inset is the HRTEM image of a tadpole shaped nanocrystal. (Reprinted from ref. 104 with permission from John Wiley and Sons, Inc.)

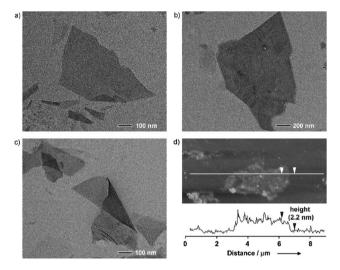
nanoplates is the incorporation of a mineralizer (sodium diphosphate) that accelerates the crystallization process and controls the morphology of ceria nanocrystals. In the absence of mineralizers, the yield of ceria nanocrystals is very low, and the morphologies are not controlled.<sup>105</sup>



**Fig. 13** (a) TEM image and (b) SAED pattern of square ceria nanoplates; (c) TEM image of stacking square ceria nanoplates and (d) HRTEM image of a square ceria nanoplate; (e) TEM image; (f) SAED pattern and (h) HRTEM image of elongated ceria nanoplates. Insets of (d) and (h): fast Fourier Transform (FFT) patterns of HRTEM images. Scale bars: (a and c) 100 nm, (e and g) 200 nm, (d and h) 5 nm (Reprinted from ref. 105 with permission from John Wiley and Sons, Inc.).

Ultrathin, single-crystalline ceria nanosheets with a thickness of approximately 2.2 nm and lateral dimension up to 4  $\mu$ m were synthesized by Xia's group with a simple aqueous route, as shown in Fig. 14.<sup>106</sup> They found that the ceria nanosheets were formed through 2D self-organization of initially formed small ceria nanocrystals, followed by an *in situ* recrystallization process. The slow addition of a cerium(III) nitrate precursor using a syringe pump is critical to the formation of ceria nanosheets.

Tong *et al.*<sup>107</sup> developed an electrochemical deposition route for the preparation of hierarchically porous ceria and Gd-doped ceria (Fig. 15) at room temperature, providing a facile and lowcost route for the synthesis of porous ceria and Gd-doped ceria foam nanostructures in high yield. The as-prepared hierarchically porous Gd-doped CeO<sub>2</sub> nanostructures have shown a remarkable enhancement of optical and magnetic properties.



**Fig. 14** (a and b) TEM images of ceria nanosheets with different sizes, (c) TEM image of a self-folded nanosheet, (d) tapping-mode AFM image and the height along the line shown in the AFM image. (Reprinted from ref. 106 with permission from John Wiley and Sons, Inc.)

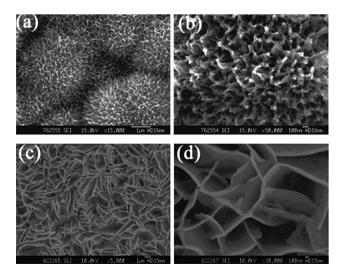


Fig. 15 SEM images of the porous  $CeO_2$  (a and b) and Gd-doped  $CeO_2$  (c and d) prepared by an electrochemical deposition route. (Reprinted with permission from ref. 107. Copyright 2009 American Chemical Society).

Mesoporous ceria has shown great potential as versatile catalysts and catalyst supports due to its high surface area and the increased dispersion of active secondary components.<sup>108-110</sup> However, a major problem is its poor thermal stability, which is often caused by structure collapse during surfactant removal at elevated temperatures.<sup>111</sup> Therefore, mesoporous CeO<sub>2</sub> with an excellent thermal stability is highly desired for designing highperformance catalysts. To address this issue, a novel hydrothermal method has been developed by our group in IOP to prepare monodisperse flowerlike CeO2 microspheres with micro/ nano structure, as shown in Fig. 16.<sup>112</sup> The obtained CeO<sub>2</sub> microspheres have open three-dimensional (3D) porous and hollow structures consisting of nanosheets as the petals with an average thickness of about 20 nm (Fig. 17a-d). They possess high surface area (92.2 m<sup>2</sup> g<sup>-1</sup>), large pore volume (0.17 cm<sup>3</sup> g<sup>-1</sup>), and marked hydrothermal stability. By means of the results of morphology and phase evolution of the products obtained under different reaction times as well as GC-MS analysis of the liquid products, a formation mechanism of the flowerlike CeO<sub>2</sub> microspheres was proposed, mainly consisting of four processes of simultaneous polymerization-precipitate reaction, metamorphic reconstruction and mineralization under hydrothermal condition, and subsequent controlled calcinations, schematically shown in Fig. 16e. This method has been generalized to prepare flowerlike La<sub>2</sub>O<sub>3</sub> (ref. 113) and a series of elements-doping CeO<sub>2</sub> microspheres.114 The large surface area endows this material with high reactivity in CO oxidation114-117 and hydrocarbons reforming.<sup>112,118</sup> The excellent kinetics property was demonstrated in a SOFC by applying a Ru-loaded flowerlike ceria catalyst layer in the anode side.<sup>119</sup> Moreover, Sm-doped ceria microspheres combined with Ag was found highly reactive for

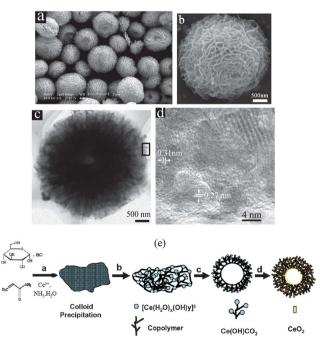
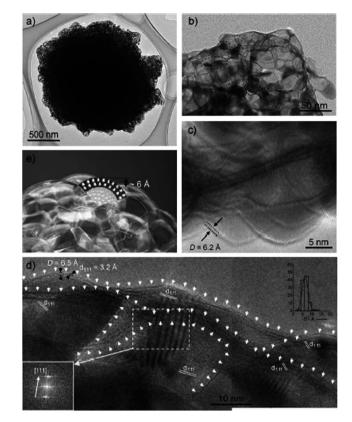


Fig. 16 Representative SEM images (a and b) and TEM images (c and d) of the flowerlike  $CeO_2$  microspheres; (e) schematic illustration of the evolution of flowerlike  $CeO_2$  microspheres. (Reprinted with permission from ref. 112. Copyright 2006 American Chemical Society).



**Fig. 17** (a and b) Typical TEM images with different magnification. (c and d) Typical high-resolution TEM images. (e) Digital camera photograph of soap bubbles as a model of the wall of  $CeO_2$  foam inserted. Insets in (d): FFT patterns obtained from the HRTEM image and statistical analysis of thickness distribution of walls of  $CeO_2$  foam (average D = 6.41 Å, relative standard deviation (22.5%) derived from counting 50 walls and two different points of each wall were measured). (Reprinted from ref. 121 with permission from John Wiley and Sons, Inc.)

oxygen reduction reaction as a cathode in intermediate temperature solid oxide fuel cells.<sup>120</sup>

Similar to the morphology of the flowerlike CeO<sub>2</sub> microspheres, Yang *et al.*<sup>121</sup> recently reported a thermal decomposition process to fabricate three-dimensional CeO<sub>2</sub> foams with longrange atomically thin single-crystalline walls (4–8 Å) under an ammonia atmosphere, using scheelite-type CeGeO<sub>4</sub> as a starting material, as shown in Fig. 17. The obtained CeO<sub>2</sub> foams have a BET surface area of 60.1 m<sup>2</sup> g<sup>-1</sup> and a pore volume of 0.19 cm<sup>3</sup> g<sup>-1</sup>. The feasibility and reaction pathways of nitridation process were analyzed by first-principles calculations, which indicated that O element on the {101} facets of orthogermanate CeGeO<sub>4</sub> can be removed by NH<sub>3</sub> as a result of forming Ce<sup>3+</sup> and Ge<sup>2+</sup>. The Ge<sup>2+</sup> may be gasified as GeO at high temperature, which leads to the decomposition of the CeGeO<sub>4</sub> crystals and formation of CeO<sub>2</sub> foams.

The nanocasting pathway with hard templates opens the door to the design of highly porous solids with multifunctional properties and interesting application perspectives.<sup>122</sup> Nanocasting is a process in which a template with relevant structures on the length scale of nanometers is filled with another material to be cast or a precursor for it, and the initial template is subsequently removed.<sup>122</sup> The nanocasting method for CeO<sub>2</sub> which employed uniform mesoporous silica as a hard template, pioneered by the group of Ryoo, allowed synthesis of highly ordered thermally stable mesoporous CeO<sub>2</sub> with nanocrystalline walls (Fig. 18) for the first time.<sup>123</sup> Recently, ordered mesoporous CeO<sub>2</sub> was also prepared by Ji *et al.* with nanocasting from cubic *Ia3d* mesoporous MCM-48 silica (Fig. 19).<sup>124</sup> The obtained mesoporous CeO<sub>2</sub> shows a blue shift in UV-vis absorbance and has many more surface vacancies due to the controlled nanocrystal size. Compared with the corresponding nonporous analogues and standard reference of TiO<sub>2</sub> materials, the

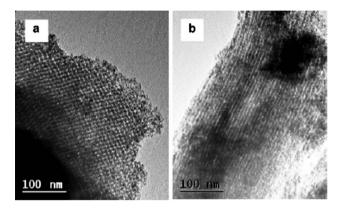
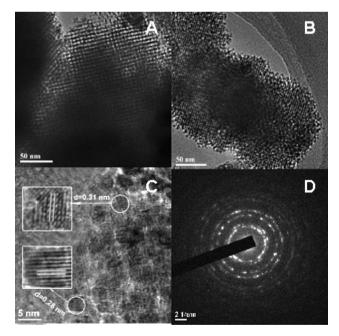


Fig. 18 TEM images of the template-free CeO<sub>2</sub> samples synthesized with cubic *Ia*3*d* silica template (a), and with 2D hexagonal *p*6*mm* template (b). The image in (a) shows a high similarity with images taken along the [110] direction of cubic *Ia*3*d* mesoporous carbons, and the image in (b) corresponds to the [100] direction of the *p*6*mm* structure. (Reprinted from ref. 123 with permission from Royal Society of Chemistry).



**Fig. 19** (A and B) TEM images of the template-free CeO<sub>2</sub> sample calcined for 2 h at 550 °C. (C) The HRTEM image of the CeO<sub>2</sub> sample showing its nanocrystalline nature. (D) The SAED pattern confirming the crystallinity of the porous materials. (Reprinted with permission from ref. 124. Copyright 2008 American Chemical Society).

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mesoporous material shows significantly increased catalytic activity toward acid orange 7 (AO7) decomposition, a nonbiodegradable azodye and target contaminant to test photocatalytic activities under visible light irradiation.

Chane-Ching *et al.* developed a general two-step assembly approach for the synthesis of ordered 2D or 3D nanostructured materials (Fig. 20) with a large surface area through the selfassembly of functionalized nanoparticles.<sup>125</sup> In their work, ceria nanoparticles are functionalized by using the (CH<sub>2</sub>CH<sub>2</sub>O) groups of the surfactant. Based on the cooperative self-assembly of colloidal nanoparticles, hexagonal arrays of CeO<sub>2</sub> are obtained and the symmetry of the arrays was preserved upon heating up to 500 °C.

Yuan *et al.* prepared a highly ordered mesoporous  $Ce_{1-x}Zr_xO_2$  solid solution with a 2D hexagonal mesostructure,<sup>126</sup> as shown in Fig. 21. The general synthesis strategy is based on a sol-gel process combined with evaporation-induced self-assembly in ethanol using block copolymer Pluronic P123 as

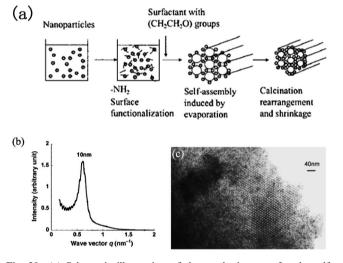
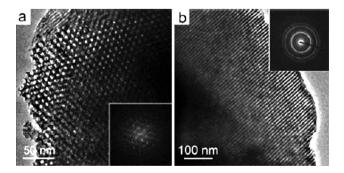


Fig. 20 (a) Schematic illustration of the synthetic route for the selfassembly of surface-modified nanoparticles, (b) small-angle X-ray scattering pattern and (c) TEM image of the assembled  $CeO_2$  nanostructured material after calcination at 500 °C. (Reprinted from ref. 125 with permission from John Wiley and Sons, Inc.)

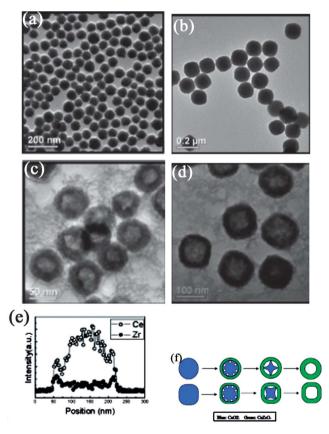


**Fig. 21** TEM images of the mesoporous  $Ce_{1-x}Zr_xO_2$  (x = 0.5) recorded along the [001] (a) and [110] (b) orientations. The inset in (a) is the corresponding FFT pattern, and the one in (b) is the corresponding SAED pattern. (Reprinted with permission from ref. 126. Copyright 2007 American Chemical Society).

the template and ceric nitrate and zirconium oxide chloride as the precursors without additional acid or base. Under the optimized temperature and humidity conditions, a series of mesoporous  $Ce_{1-x}Zr_xO_2$  with different Ce/Zr ratios can be obtained.

Spherical and nearly cubic-like monodispersed ceria colloid nanocrystals (Fig. 22) have been prepared by Li's group with a simple hydrolysis process in glycol.<sup>127</sup> Subsequently,  $Ce_{1-x}Zr_xO_2$ and  $CeO_2@Ce_{1-x}Zr_xO_2$  nanocages with different outer and interior morphologies have been prepared with the colloid ceria cluster as both chemical precursors and physical templates by Kirkendall effect. This approach has shown great flexibility in controlling the sizes, shapes, and compositions of the solid solution.<sup>127</sup>

The interaction of metals with ligands is a key factor in the design of catalysts. Much effort has been devoted to the rational control of metal–ligand interactions in order to exploit their catalytic properties.<sup>128</sup> Mitsudome *et al.* recently reported a facile synthesis of the core–shell nanocomposite of AgNPS@CeO<sub>2</sub> by combining the reverse micelle technique and a redox reaction.<sup>128</sup> The SEM image of the AgNPs@CeO<sub>2</sub> showed uniformly spherical nanoparticles with a size of 30 nm in diameter



**Fig. 22** (a) Typical TEM image of nearly monodispersed spherical ceria nanocrystal clusters obtained at low water concentration. (b) TEM image of nearly cubic-like ceria nanocrystal clusters obtained at high water concentration. (c) TEM image of spherical Ce–Zr–O nanocages. (d) TEM image of nearly cubic-like Ce–Zr–O nanocages. (e) Compositional line profile across a single hollow core–shell structure probed by EDS line scanning. (f) The illustration of formation process of the Ce–Zr–O nanocages based on Kirkendall effect. (Reprinted with permission from ref. 127. Copyright 2008 American Chemical Society).

(Fig. 23a). TEM image showed the two areas of an electrondense core 10 nm in diameter and an electron-poor shell 8 nm thick (Fig. 23b). EDS analysis clearly indicated that the nanocomposite was composed of a Ag core and CeO<sub>2</sub> shell (Fig. 23c). Close inspection of the HRTEM image showed that the spherical CeO<sub>2</sub> NPs are about 3-5 nm in diameter and assemble to form the shell. The nanospace-containing shell structure enables the access of reactants to the active metal core. AgNPs@CeO<sub>2</sub> was demonstrated to be an effective catalyst for the complete chemoselective reduction of nitro compounds in the presence of C= C bonds using H<sub>2</sub> as a clean reductant. Moreover, AgNPS@-CeO<sub>2</sub> was applicable to the deoxygenation of epoxides to alkenes with higher selectivity (99%). Maximizing the interface interaction between AgNPs and basic sites of CeO<sub>2</sub> by the construction of core-shell AgNPS-CeO2 successfully induces the heterocatalytic cleavage of H<sub>2</sub> and leads to the development of highly chemoselective catalytic reduction of polar functionalities.<sup>128</sup>

Wei *et al.* recently prepared a series of catalysts of threedimensionally ordered macroporous (3 DMO)  $Ce_{0.8}Zr_{0.2}O_2$ supported gold nanoparticles with controllable sizes using a facile method of gas bubbling-assisted membrane reduction (GBMR).<sup>129</sup> All the catalysts have well-defined 3DOM structures, which consist of interconnected networks of spherical voids (Fig. 24), and the Au nanoparticles are well dispersed and supported on the inner wall of the uniform macropores. 3DMO  $Au_{0.04}/Ce_{0.8}Zr_{0.2}O_2$  catalyst with Au particle size of 2–3 nm has the strong capability of adsorption and activation of oxygen. Thus, it exhibits superior catalytic activity for diesel soot oxidation, especially at low temperatures.

Ceria nanoparticles are one of the key abrasive materials for chemical-mechanical polarization of advanced integrated circuits. However, undoped CeO<sub>2</sub> nanocrystals usually show polyhedral shapes, which scratch the silicon wafers and increase defect concentrations.<sup>16</sup> Wang *et al.* synthesized ceria nanospheres doped with 12 atomic% of Ti using flame spray pyrolysis of a solution of cerium and titanium precursors dissolved in a flammable solvent alcohol. Upon doping with Ti, the ceria nanocrystals are spherical and polishing defects are reduced by

AaNI

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d / nm

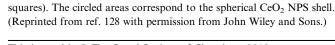


Fig. 23 (a) SEM image of AgNPs@CeO<sub>2</sub>. (b) HRTEM image of the

single AgNPs@CeO2 nanocomposite. (c) The line-scan STEM-EDS

across the AgNPs@CeO2 nanocomposite (Ag: red squares, Ce: green

C)

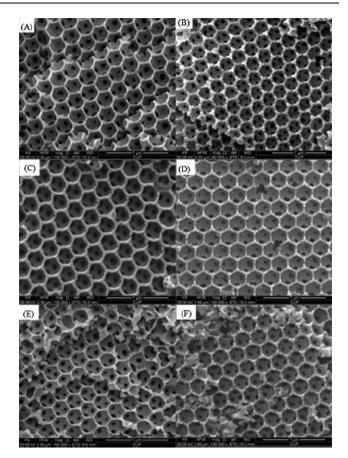
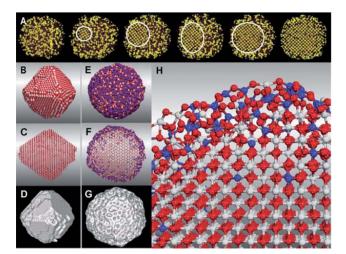


Fig. 24 SEM images of the 3DOM  $Au_n/Ce_{1-x}Zr_xO_2$  catalysts prepared by GBMR method: (A)  $Ce_{0.8}Zr_{0.2}O_2$ , (B)  $Au_{0.005}/Ce_{0.8}Zr_{0.2}O_2$ , (C)  $Au_{0.01}/Ce_{0.8}Zr_{0.2}O_2$ , (D)  $Au_{0.02}/Ce_{0.8}Zr_{0.2}O_2$ , (E)  $Au_{0.04}/Ce_{0.8}Zr_{0.2}O_2$ , (F)  $Au_{0.06}/Ce_{0.8}Zr_{0.2}O_2$ . (Reprinted from ref. 129 with permission from Royal Society of Chemistry).

80% and silica removal rates are increased by 50%, facilitating precise and reliable mass-manufacturing of chips for nanoelectronics.<sup>16</sup> These results are very important for industry. Molecular dynamic (MD) simulation rationally elucidates the observed morphological variation *via* crystallization from melt at the atomistic level and corroborates the experimental observations that the doping of Ti ions changes the shape of CeO<sub>2</sub> nanocrystals from polyhedron to sphere (Fig. 25a). From these results, they predicted that spherical oxide nanocrystals can be synthesized generally by suppressing nucleating seed evolution at the surface thus forcing the nucleating seed to spontaneously evolve in the bulk.<sup>16</sup>

Following this work, Sayle *et al.* performed simulation with full atomistic models of pure and titanium-doped ceria nanorods and framework architectures.<sup>130</sup> The formation of amorphous nanoparticles firstly, then self-aggregation into nanorods and nanoporous architectures, and lastly the crystallization from the amorphous oxide precursors are simulated step by step. The simulation results predicted that Ti doping would "smooth" the surface: the CeO<sub>2</sub> hexagonal prisms shaped CeO<sub>2</sub> nanorods with {111} and {100} surfaces become cylindrical, and framework architectures change from facetted pores and channels with well-defined {111} and {100} surfaces to "smooth" pores and channels, as shown in Fig. 26a–d.<sup>130</sup>



**Fig. 25** (A) Images taken during a MD simulation for crystallization of Ti-doped CeO<sub>2</sub> nanoparticles, showing the initial amorphous precursor (left), evolution, and growth of the seed (circled) to the fully crystalline nanoparticle with amorphous shell (right); (B to D) Nondoped nanoparticle, (E to G) Ti-doped CeO<sub>2</sub> nanospheres, (H) enlarged segment of the Ti-doped nanosphere. (B and E) Sphere model representation of the atomic positions, (C and F) side view with smaller spheres to view through the nanoparticle, (D and G) surface rendered model. Cerium is colored in white; Ti(v) is blue, and oxygen is red. The nanoparticles are about 7 to 8 nm in diameter. All images show actual atomic positions and are not schematics. (Reprinted from ref. 16 with permission from the American Association for the Advancement of Science.)

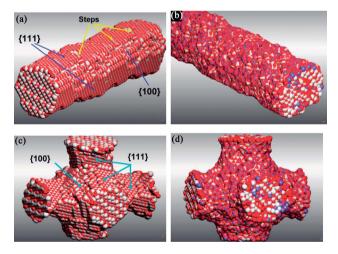


Fig. 26 The atomistic sphere models of (a) the undoped  $CeO_2$  nanorod and (b) the Ti-doped  $CeO_2$  nanorod, and atomistic sphere models of nanoporous framework architectures (c)  $CeO_2$  and (d) Ti-CeO\_2. Ce is colored in white; Ti, blue; and O, red. (Reprinted with permission from ref. 130. Copyright 2007 American Chemical Society).

# 4. Theory calculation

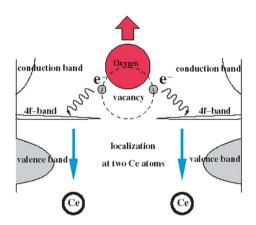
#### 4.1. Fundamental properties of ceria

Recent progress in theoretical studies provides fundamental understanding of the properties of CeO<sub>2</sub>. The calculation by Skorodumova *et al.*<sup>131</sup> on the basis of first-principles quantum mechanical simulations first explained the microscopic mechanism behind the extraordinary ability of ceria to store, release,

and transport oxygen. They proposed that the reversible CeO<sub>2</sub>-Ce<sub>2</sub>O<sub>3</sub> reduction transition associated with oxygen-vacancy formation and migration is directly coupled with the quantum process of localization/delocalization of the 4f electron of cerium, as shown in Fig. 27. The vacancies are easily healed again in an Orich ambience, which makes the oxide perfectly suited to balance the oxygen supply during a catalytic reaction.<sup>131</sup> Two electrons are left behind in the lattice that form two reduced Ce<sup>3+</sup> species when an oxygen atom is removed. The electrons occupy split-off states of the initially empty Ce 4f band, lying inside the O<sub>2p</sub>-Ce<sub>5d</sub> bandgap of ceria and being highly localized in space.<sup>131</sup> The migration of oxygen in ceria and ceria-based materials takes place via a vacancy hopping mechanism.132 Clusters of more than two vacancies, such as linear surface oxygen vacancies, proved to be favorable for migration of oxygen.133,134 If the diffusion of anions is sufficiently fast, a continuous supply of oxygen from the bulk to the surface will guarantee an enhanced reducibility in ceria.

For better understanding of surface chemistry and catalysis on ceria, Ganduglia-Pirovano *et al.* theoretically studied the structure of near-surface oxygen vacancies and found subsurface vacancies with  $(2 \times 2)$  periodicity to be energetically more favorable.<sup>135</sup> The electrons arising from the formation of surface and subsurface lattice oxygen vacancies at the CeO<sub>2</sub> (111) surface do not necessarily localize on the nearest neighbor (NN) Ce ions, but that relaxations may lead to a global minimum structure with the electrons localized on the next-nearest neighbors (NNN) instead.<sup>135</sup>

It should be mentioned that the electronic structure of materials that exhibit strong electron correlation, such as cerium oxide and many rare-earth oxides, is typically poorly described by the conventional density functional theory (DFT) approach.<sup>136,137</sup> DFT normally averages the exchange correlation of the electronic interaction and does not correct for the nonphysical self-interaction of the electrons. Skorodumova *et al.*<sup>138</sup> and Andersson *et al.*<sup>139</sup> described the structural, thermodynamic, and electronic properties of cerium oxide and found that the onsite Coulomb interaction U has a significant influence on the calculated results. Shi *et al.*<sup>140</sup> theoretically investigated the



**Fig. 27** The process of oxygen-vacancy formation in ceria. An oxygen atom moves away from its lattice position leaving behind two electrons, which localize on two cerium atoms, turning Ce (4+) into Ce (3+). (Reprinted from ref. 131 with permission from American Physical Society.)

effects of M (M = Mn, Pr, Sn, Zr) doping on the redox thermodynamics of CeO<sub>2</sub> using first-principles density-functional theory calculations by taking the on-site Coulomb interaction into account. Two different mechanisms for the O-vacancy formation in doped CeO<sub>2</sub> have been proposed. Compared with the case of pure CeO<sub>2</sub>, the decrease of the O-vacancy formation energy for Zr-doped CeO<sub>2</sub> is mostly caused by the structural distortion, whereas the decrease for Mn-, Pr-, or Sn-doping originates from the electronic modification as well as from the structural distortion.<sup>140</sup> It was found that the electronic modification occurs in those dopants whose uttermost atomic orbitals are half or fully occupied by the filling of the excess electrons left by the formation of the O vacancy.<sup>140</sup> Two effects contribute to the concentration dependence of the O-vacancy formation energies for different dopant species.

Solid electrolytes play an important role in several technological applications, such as fuel cells. Optimization of such materials is often done by trial and error.<sup>31</sup> Andersson *et al.*<sup>141</sup> present a clear physical picture of the connection between the choice of a dopant and the improvement of ionic conductivity in ceria using a quantum-mechanical first-principles calculation. Their results reveal a remarkable correspondence between vacancy properties at the atomic level and the macroscopic ionic conductivity. The key parameters comprise migration barriers for bulk diffusion and vacancy–dopant interactions, represented by association (binding) energies of vacancy–dopant clusters.<sup>141</sup> In the optimal electrolyte, the repulsive elastic and attractive electronic interactions should balance. They concluded that the ideal dopant should have an effective atomic number between 61 (Pm) and 62 (Sm).<sup>141</sup>

The catalytic activity of CeO<sub>2</sub> is closely related to the energy of oxygen vacancy formation on ceria surfaces. Using a force-field method, Sayle et al. showed that oxygen vacancies were found to be more stable on (111), (110) and (310) surfaces than that in the bulk of crystal<sup>142</sup> and formation of anion vacancy seemed to be more difficult on CeO<sub>2</sub> (111) than on (110) and (100).<sup>143</sup> Yang et al.<sup>144</sup> studied the formation of oxygen vacancies on ceria surfaces using a first-principles method and found that the formation of an oxygen vacancy was closely related to the surface structure and occurs more easily for the (110) surface than (111). The preferred vacancy location is in the surface layer for  $CeO_2$  (110) and in the subsurface layer (the second O-atomic layer) for CeO<sub>2</sub> (111). For both surfaces, the O vacancy forms more readily than in the bulk.144 Yang's results are consistent with the results of Ganduglia-Pirovano et al.<sup>135</sup> and Nolan et al.<sup>145</sup> Liu et al. reported that Au atoms prefer to sit on the O-vacancy site on  $CeO_2(111)$  compared to the stoichiometric surface, which can be explained in terms of an enhanced ionic bonding since each O-vacancy is associated with two extra electrons left by the removal of an O atom.146

Furthermore, computational studies of ceria nanoparticles ranging from 50 to more than 200 atoms (*i.e.*, including aggregates large enough to be in the "scalable-to-bulk" regime) have been performed recently.<sup>147-149</sup> These models allow one to realistically describe the reactivity of various sites of both ceria nanoparticles and catalysts formed after deposition of noble metal cluster on them. It has shown that the highest occupied molecular orbital (HOMO)–lowest unoccupied molecular orbital (LUMO) gap in nanometer-sized ceria particles is notably reduced with respect to the regular CeO<sub>2</sub> (111) surface, with the

HOMO mainly composed of O 2p orbitals and the LUMO of Ce 4f orbitals.<sup>68</sup> The oxygen-vacancy formation energy in ceria nanostructures decreases with respect to the extended surface.

#### 4.2. The interactions between the metal and ceria support

The nature of the support had a decisive role in the activity of catalysts, for example, alumina- and magnesia-supported gold were found to be significantly more active than silica-supported gold.<sup>150</sup> A synergetic effect usually exists between the metal oxide support and the catalysts.<sup>151</sup> The metal oxide does not only simply act as an inert support, but also intervenes in the catalytic process. Noble metal/ceria-based catalysts are among the systems long known to exhibit strong metal–support interaction (SMSI) effects.<sup>152</sup> Therefore, understanding of metal–ceria support interactions in supported metal catalysts is very important for developing nanostructured CeO<sub>2</sub>-based catalysts with desired performances.

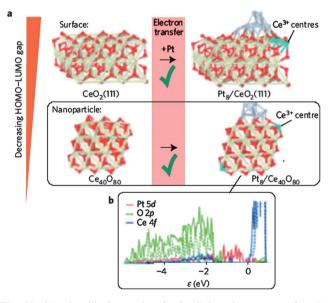
For the origin of the interactions between the active (metal) phase and support, various support effects have been proposed and well summarized by Vayssilov *et al.*,<sup>153</sup> including electronic interactions between both components,<sup>154</sup> stabilization or destabilization of certain particle shapes or sizes,<sup>155,156</sup> surface transport of adsorbates through the boundary (spillover, reverse spillover, capture zone effects);<sup>157,158</sup> and so-called 'strong metal–support interactions' involving migration of partially reduced oxides onto the active phase<sup>159,160</sup> or the stabilization/destabilization of oxidized active phases by the support.<sup>161</sup>

 $CeO_2$  has been recognized as the best supporting material for catalysis at Au NPs due to its high oxygen storage and release capacity, facile oxygen vacancy formation, and the presence of a narrow Ce f-band.<sup>162</sup> Experimental studies have shown that the surface of CeO2 can easily be enriched with oxygen vacancies<sup>163,164</sup> and that Au NPs bind strongly to these vacancies.<sup>165,166</sup> Lawrence et al. recently demonstrated that catalytic activity is a function of the concentration of oxygen vacancies for the CO oxidation activity of CeO<sub>2</sub> nanorods, NPs, and the bulk surface.164 Oxygen vacancy formation on the CeO2 surface accompanies the reduction of adjacent Ce<sup>4+</sup> to Ce<sup>3+</sup> ions, and the concentration of Ce<sup>3+</sup> ions is proportional to that of oxygen vacancies.<sup>164</sup> The formation of the surface chemisorbed oxygen species can be facilitated by defects in the catalyst structure.<sup>167</sup> Localized electrons on the occupied 4f-orbital of Ce<sup>3+</sup> ions contribute to the electronic interaction between reduced CeO<sub>2</sub> and supported Au NPs.162,168 Au tends to be oxidized once it is in contact with CeO2. Au<sup>8+</sup> ions can adsorb CO sufficiently strongly for subsequent catalysis.<sup>115,146</sup> The highly catalytic activity is not limited to ultrasmall monolayer Au clusters,169 which was suggested previously by Liu et al. 146

Kim *et al.*<sup>170</sup> recently studied the catalytic activity of CeO<sub>2</sub>supported Au nanoparticles toward CO oxidation using density functional theory. They proposed three CO oxidation mechanisms by Au<sub>13</sub>@CeO<sub>2</sub>: CO oxidation by co-adsorbed O<sub>2</sub>, CO oxidation by lattice oxygen in CeO<sub>2</sub>, and CO oxidation by O<sub>2</sub> bound to a Au–Ce<sup>3+</sup> anchoring site.<sup>170</sup> Oxygen vacancies are shown to open a new CO oxidation pathway by O<sub>2</sub> bound to a Au–Ce<sup>3+</sup> anchoring site. Their results provide a design strategy for CO oxidation on supported Au catalysts. Lowering the vacancy formation energy of the supporting oxide with easily reducible oxides can increase the concentration of reduced metal ions which act as anchoring sites for  $O_2$  molecules, similar to the results by Nilekar *et al.*<sup>171</sup> In transition metal core–Pt shell NPs, modifying Au NPs with alloying elements would be a promising strategy for lowering CO adsorption energy, as well as decreasing and increasing saturated CO and  $O_2$  concentration, respectively.

Pt–ceria is another important catalyst for CO oxidation,<sup>172</sup> water gas shift reaction<sup>172–174</sup> and other catalytic reactions.<sup>175</sup> The interaction of a representative metal cluster Pt<sub>8</sub> with two group of model ceria nanoparticles exhibiting crystalline-like atomic arrangement was studied computationally by Vayssilov *et al.*<sup>176</sup> It was found that the presence of platinum on the ceria nanoparticles induced electron transfer from the metal to the support leading to the formation of a small fraction of Ce<sup>3+</sup> cations. The amount of the reduced cerium cations depends on the size of the ceria nanoparticle and the stability of the Ce 4f levels.<sup>176</sup> The electronic levels of platinum fill the gap between the O 2p and Ce 4f levels of ceria, thus mediating the electron exchange between the Pt cluster and ceria nanoparticle, and leading to the reduction of a nonstoichiometric amount of Ce<sup>4+</sup> to Ce<sup>3+</sup> cations upon the removal or spillover of O.

Recently, Vayssilov *et al.* also found that oxygen transfer from the CeO<sub>2</sub> support to Pt NPs, termed oxygen spillover, occurs due to the low vacancy formation energy of nanostructured CeO<sub>2</sub>.<sup>153</sup> A Pt<sub>8</sub> cluster supported on a stoichiometric Ce<sub>40</sub>O<sub>80</sub> nanoparticle was chosen as a model. In the most stable structure found for Pt<sub>8</sub>/ Ce<sub>40</sub>O<sub>80</sub> (Fig. 28a), the metal cluster interacts through five Pt atoms with the ceria particle and reveals a binding energy



**Fig. 28** Metal–oxide interaction in Pt–CeO<sub>2</sub>: electron transfer. (a) Electronic interaction between Pt clusters and extended planar or nanostructured CeO<sub>2</sub> supports: for Pt<sub>8</sub> clusters on both a CeO<sub>2</sub> (111) slab and a stoichiometric Ce<sub>40</sub>O<sub>80</sub> nanoparticle calculations predict spontaneous electron transfer from platinum to the ceria support. (b) Density-of-states plot for the bare nanoparticle Ce<sub>40</sub>O<sub>80</sub> (dashed lines) and the nanoparticle system Pt<sub>8</sub>/Ce<sub>40</sub>O<sub>80</sub> (solid lines). The energies are with respect to the Fermi level of Pt<sub>8</sub>/Ce<sub>40</sub>O<sub>80</sub> and two density-of-states plots are aligned using the difference in the average VASP electrostatic potentials in vacuum. (Reprinted from ref. 153 with permission from Nature Publishing Group.)

of -6.49 eV with respect to the bare Ce<sub>40</sub>O<sub>80</sub> and Pt<sub>8</sub> species. The presence of Pt<sub>8</sub> on the ceria nanoparticle has only a moderate influence on both the O 2p and Ce 4f levels (Fig. 28b), however, the platinum states essentially fill the whole bandgap and contribute to the HOMO-LUMO region.<sup>153</sup> Both the HOMO and the LUMO belong to the platinum cluster, but the Ce 4f levels are close in energy to the LUMO. Two types of oxidative metal-oxide interaction on well-defined models have been identified, electron transfer from the Pt nanoparticle to the support, and oxygen transfer from ceria to Pt.153 The electron transfer is favorable on ceria supports and the oxygen transfer is shown to require the presence of nanostructured ceria in close contact with Pt, which is inherently a nano-size effect. Their findings confirm that the size of the CeO<sub>2</sub> support has a critical role in catalytic activity of supported NPs, consistent with the finding by Carrettin et al.66 It enables clarification of the formation mechanism of the catalytically indispensable Pt-O species on ceria and to elucidate the extraordinary structure-activity dependence of ceria-based catalysts in general.153

For Ag–CeO<sub>2</sub> catalysts, Liu and colleagues<sup>177</sup> predicted oxygen adsorption, dissociation, and transport processes on the two most stable Ag (111) and Ag (110) surfaces and on a monolayer silver supported by CeO<sub>2</sub> (111) surfaces with or without oxygen vacancies using first-principles calculations based on spin polarized DFT with PAW method. The computed energies of these reactions show that the O<sub>2</sub> reduction process and the incorporation of the dissociated O ions in the oxide electrolyte prefer taking place in the TPB region with oxygen vacancies.<sup>177</sup> This result is useful for understanding the oxygen reduction reactions occurring in the cathode of SOFCs.

# 5. Characterization

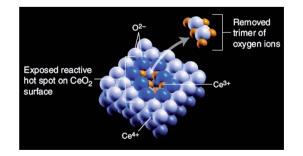
X-ray photoelectron spectroscopy (XPS) and X-ray absorption near edge spectroscopy (XANES) have been used to investigate the oxidation state of cerium ions in ceria nanoparticles.<sup>178</sup> Recently, Eichhorn et al. performed in situ spectroscopy to probe oxidation states of all exposed surfaces in operational solid oxide electrochemical cells (SOCs) at 750 °C in mbar reactant gases H<sub>2</sub> and H<sub>2</sub>O, combining ambient-pressure XPS and CeO<sub>2-x</sub>/YSZ/Pt single-chamber cells.<sup>179</sup> The mixed ionic/electronic conducting  $CeO_{2-x}$  electrodes undergo  $Ce^{3+}/Ce^{4+}$  oxidation-reduction changes with applied bias. The simultaneous measurements of local surface Ce oxidation states and electric potentials reveal the active ceria regions during H<sub>2</sub> electro-oxidation and H<sub>2</sub>O electrolysis. They also found that the active regions extend about 150 µm from the current collectors and are not limited by the three-phase-boundary interfaces associated with other SOC materials.<sup>179</sup> The observation of the existence of the Ce<sup>3+</sup>/Ce<sup>4+</sup> shifts in the about 150 µm active region leads to the conclusion that the surface reaction kinetics and lateral electron transport on the thin ceria electrodes are co-limiting processes.

The recent advancement in experimental approaches, *e.g.* high-resolution scanning tunneling microscopy (STM), dynamic force microscopy (DFM), and spherical aberration-corrected transmission electron microscopy, has contributed significantly to the characterization of ceria-based heterogeneous catalysts with a true atomic-scale resolution. The enormous success of the STM is owing to its unique and unparalleled high spatial and

temporal resolution enabling imaging of single atoms and molecules on surfaces and their motion in real time.<sup>180</sup> Esch *et al.* investigated the CeO<sub>2</sub> surfaces by combining STM and density functional theory.<sup>163</sup> They showed that surface oxygen vacancies on CeO<sub>2</sub> (111) were immobile at room temperature, but linear clusters of these vacancies form at higher temperatures. These vacancy clusters expose exclusively Ce<sup>3+</sup> ions to gas-phase reactants. Thus, the exposed Ce<sup>3+</sup> ions are grouped into large ensembles, whereas the sites immediately adjacent to these vacancy clusters remain as pure Ce<sup>4+</sup> ions (Fig. 29).<sup>43</sup> One subsurface oxygen vacancy is required to nucleate each vacancy cluster.

As stated above, Esch's results on the reduced  $CeO_2$  (111) surface have revealed that one subsurface oxygen vacancy is required to nucleate linear surface oxygen vacancy clusters.<sup>163</sup> Thus, these subsurface vacancies seem to play a critical role in the catalytic properties of ceria by enabling a further surface oxygen release and stabilizing the formation of linear oxygen vacancy clusters, which are the dominant defect structures on the strongly reduced surface.<sup>163,181,182</sup> Using an atomic resolution dynamic force microscopy, Torbrügge et al.<sup>183</sup> have clearly identified surface and subsurface oxygen vacancies on the slightly reduced CeO<sub>2</sub> (111) surface at 80 K, as shown in Fig. 30. Their result substantiates the topography predicated by the first-principles calculations.<sup>137</sup> By combining two simultaneously acquired signals (the topography and the energy dissipated from the cantilever oscillation), they clearly locate subsurface oxygen vacancies buried at the third surface atomic layer.<sup>183</sup> Their results conclude the existence of interactions between subsurface oxygen vacancy structures that make them to order in open linear arrays upon the presence of a high density of these defects.<sup>183</sup>

Recently, STM imaging and spectroscopy in combination with DFT calculations have also been used to reveal electron localization in defective ceria surfaces by Jerratsch *et al.*<sup>184</sup> The spatial correlation between an oxygen vacancy and the associated  $Ce^{3+}$  ion pair in a defective  $CeO_2$  (111) film has been identified. The two  $Ce^{3+}$  ions can occupy different cationic shells around the vacancy. The resulting variation in the chemical environment leads to a splitting of the filled  $Ce^{3+}$  f levels that is detected with STM spectroscopy. Although the precise position of the two  $Ce^{3+}$  ions is not revealed, it was found that they always sit in different coordination spheres around the defect, in agreement with earlier



**Fig. 29** Reactive defect in a ceria surface. Coordinated Ce<sup>4+</sup> ions in a diametric surface vacancy cluster are reduced to reactive Ce<sup>3+</sup> when the trimer of oxygen ions (surface dimmer plus a subsurface oxygen) is removed. (Reprinted from ref. 43 with permission from The American Association for the Advancement of Science.)

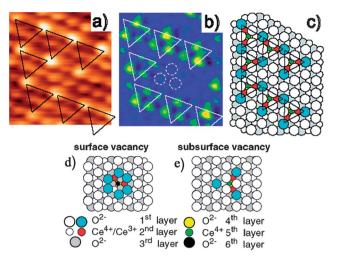
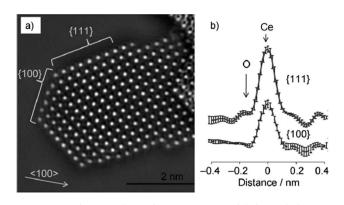


Fig. 30 Topography (a) and dissipation (b) images together with the corresponding schematic model (c) of the typical local ordering of subsurface oxygen vacancies observed. The subsurface oxygen vacancy structures are highlighted by triangles. The dashed circles indicate a defect free surface area. The color coding of the model depicted in (c) is the same as that in structural models for surface vacancy (d) and subsurface vacancy (e). The image set point was  $-2.9fN\sqrt{m}$ . (Reprinted from ref. 183 with permission from American Physical Society.)

theoretical results.<sup>135</sup> The driving force for adopting such open configurations is the better ability of the system to relax lattice strain induced by the more spacious Ce<sup>3+</sup> ion compared to the Ce<sup>4+</sup> counterpart.<sup>184</sup>

Electron microscopy and its associated techniques (e.g., electron energy loss spectroscopy (EELS) analysis) have contributed significantly to the characterization of heterogeneous catalysts and can provide micro-scale information on the structure, morphology, composition, and electronic state of the area of interest. With the advent of spherical aberration correction in the electron microscope to achieve an image resolution below 0.1 nm and the rapid development of in situ techniques, advanced electron microscopy has been employed to probe fundamental questions of heterogeneous catalysis and catalysts.<sup>185</sup> Understanding the nature of metal-support interactions by means of advanced electron microscopy becomes critical for designing and nanoarchitectured fabricating catalysts with desired performances.186

Kirkland *et al.*<sup>187</sup> showed that spherical aberration-corrected transmission electron microscopy (TEM) can be used to determine the surface termination of oxide nanoparticles. They have used a combination of direct aberration corrected TEM and computational exit wavefunction restoration to examine the structure of specific surfaces of catalytic CeO<sub>2</sub> nanoparticles during a reversible beam induced redox reaction at ambient temperature. Fig. 31a shows the phase of the specimen exit surface wavefunction of a representative ceria nanoparticle viewed close to a  $\langle 110 \rangle$  direction, restored from a focal series of aberration-corrected images. The nanoparticle surface present in the restored exit wave phase parallel to the electron beam was characterized by extracting average line profiles (Fig. 31b) and matching these to equivalent profiles extracted from the simulated data. It is noted that this comparison with the simulated



**Fig. 31** (a) Exit wave phase of a CeO<sub>2</sub> nanoparticle imaged close to a  $\langle 110 \rangle$  direction. (b) Average of seven line profiles obtained from  $\{111\}$  and  $\{100\}$  surfaces along a  $\langle 110 \rangle$  direction, as indicated in (a), with the vacuum region on the left and the particle bulk on the right. Profiles were aligned by centering the peak corresponding to the outermost cerium atomic column at zero and calculating the mean value of the experimentally measured phase shift. Error bars show the standard deviation in this measurement for equivalent surface sites as a function of pixel position. The averaged profile for a  $\{111\}$  surface has a peak at the surface corresponding to oxygen. This peak is absent in the profile extracted for the  $\{100\}$  surface. (Reprinted from ref. 187 with permission from John Wiley and Sons, Inc.)

data is simplified compared to that using single images since reconstruction of the exit wavefunction computationally compensated the residual aberrations of the microscope leaving the projected structure and specimen thickness as the only unknown parameters.<sup>187</sup>

Fig. 32a shows the restored exit wave phase for a particle that was imaged for several hours.<sup>187</sup> The ordering of oxygen vacancies occurs at ambient temperature under prolonged irradiation and in the high-vacuum conditions of the TEM has been observed, as demonstrated by the presence of superlattice reflections along a  $\langle 220 \rangle$  direction in the Fourier transform modulus shown in Fig. 32b. The {111} surface remains predominately oxygen-terminated even in the highly reduced ordered state (Fig. 32c). Their results support the conclusion that

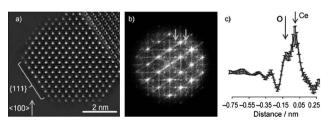
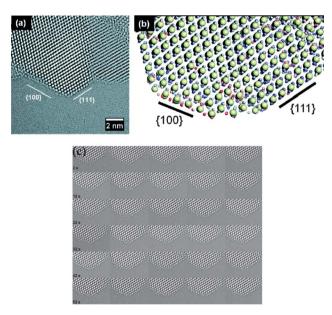


Fig. 32 (a) Phase of the exit wavefunction for a CeO<sub>2</sub> nanoparticle imaged close to a  $\langle 110 \rangle$  direction after extensive beam-induced reduction to an ordered sub-oxide. (b) Modulus of the Fourier transform calculated from (a) with arrows indicating the presence of superlattice spots along the  $\langle 220 \rangle$  directions characteristic of oxygen vacancy ordering. (c) Average projected line profile with the vacuum on the left-hand side extracted along a  $\langle 100 \rangle$  direction through a {111} surface, as indicated at the bottom left of the restored exit wave phase in (a). The arrow in (c) shows a shoulder on the larger cerium peak which is consistent with the {111} surface remaining oxygen-terminated. (Reprinted from ref. 187 with permission from John Wiley and Sons, Inc.)

the extended {111} surface in CeO<sub>2</sub> nanoparticles are stabilized by oxygen termination and that this surface structure is maintained even after the nanoparticle has been substantially reduced under electron irradiation. This result is in agreement with theoretical models<sup>130</sup> and also with atomic force microscopy measurements of bulk {111} ceria surface.<sup>184</sup> In contrast, the {100} surfaces are metal-terminated but are unstable, which is again in agreement with the theoretical calculations.

In situ phase contrast high-resolution transmission electron microscopy with spherical aberration correction has been shown to be the ideal tool to analyze cationic reconstruction.188 Hydrothermally prepared ceria nanoparticles with particularly enhanced {100} surface exposures are explored. Experimental analysis of cationic reconstruction is supported by molecular dynamics simulations where the Madelung energy, a major constituent of the cohesive energy of ionic crystals, is shown to be directly related to the binding energy, which enables one to generate a visual representation of the distribution of "reactive" surface oxygen. A faceted ceria nanoparticle was selected and imaged so that an extended {100} surface adjoining a smaller {111} surface can be clearly observed (Fig. 33a). To clarify the geometry and crystallography, a molecular dynamics simulated system showed the same joining interfaces (Fig. 33b). Dynamic changes under the e-beam were observed by taking a series of 30 images at an interval of 2 s each (Fig. 33c). It was found that the changes were arising from atomic motion and reconstruction of the terminating monolayer in the extended surfaces of both



**Fig. 33** (a) Faceted ceria nanoparticle imaged along the [110] zone axis using 300 kV JEM-3100 R005 aberration-corrected TEM showing {111} and {100} facets. The image, taken at slight underfocus, has been black-white inverted for better visibility of atomic columns. (b) Atomic model revealing {100} and {111} surfaces to compare with experiment. Cerium positions are represented by large spheres, and oxygen positions represented by small spheres colored according to Madelung energy (red = low, blue = high). (c) Time series of atomic hopping processes on a ceria nanoparticle, recorded at a time interval of 2 s (imaging conditions as with a). (Reprinted with permission from ref. 188. Copyright 2012 American Chemical Society).

 $\{100\}$  and  $\{111\}$  type. Therefore, the electron beam and its induced atom migration were proposed as a readily available probe to emulate and quantify functional surface activity, which is crucial for catalytic performance.<sup>188</sup>

The atomic and electronic structures of a  $(210)\sum 5$  grain boundary selected as a model grain boundary in CeO<sub>2</sub> thin film was investigated by Hojo et al. with STEM, EELS, and theoretical calculations.<sup>189</sup> Cerium and oxygen sublattices have been determined directly and the evidence of oxygen nonstoichiometry at the grain boundary has been obtained (Fig. 34). In the highangle annular dark-field (HAADF) image (Fig. 34a), the bright spots correspond to the Ce column locations, while the O columns surrounded by four Ce columns are not evident because the atomic number Z for oxygen is too small. An annular dark field image formed only by very high angle, incoherently scattered electrons, as opposed to Bragg scattered electrons, is highly sensitive to variations in the atomic number of atoms in the sample (Z-contrast images). Oxygen columns can be directly identified in the annular bright-field (ABF) image, in which the columns appear with dark contrast, as indicated by the arrows in panels in Fig. 34b and d. The atomic columns of Ce were

measurements (Fig. 35). The weak peak intensity at the grain boundary region indicates the low density of Ce atoms at the grain boundary region.<sup>189</sup> The EELS spectrum from the grain boundary region is slightly broader than that from the grain interior region, indicating the presence of Ce<sup>3+</sup> as well.<sup>189</sup> The M<sub>5</sub>/ M<sub>4</sub> intensity ratios were calculated to be 0.9, whereas that at the grain boundary tends to be larger, going toward the Ce<sup>3+</sup> side (Fig. 35b). Their results revealed that oxygen nonstoichiometry plays a crucial role in the stable grain boundary structure of CeO<sub>2</sub>. The importance of considering nonstoichiometry in constructing grain boundary structural models was demonstrated, especially for systems where a high degree of nonstoichiometry is expected, which provides a way for comprehensive understanding of grain boundaries through atomic scale determination of atom and defect locations.189 Barnard and Kirkland<sup>191</sup> demonstrated combining advanced high resolution transmission electron microscopy characterization of the shape of ceria nanocrystals with a shape-dependent thermodynamic model is possible to determine the detailed surface chemistry of ceria at the nanoscale. (a) GB (arb. units) 10 nm from GB

Fig. 34 (a) The HAADF and ABF images of a [001]  $(210)\sum 5$  grain boundary in a CeO<sub>2</sub> thin film. (c) Simulated HAADF and (d) ABF images of the nonstoichiometric grain boundary model structure. (e) Simulated HAADF and (f) ABF images of the stoichiometric grain boundary model structure. The structural units of each boundary are indicated by polygons. The contrast in (c) and (e) has been aligned a little to fit to the experimental image. A noise-reduction procedure was applied to the ABF image by a background subtraction filter. (Reprinted with permission from ref. 189. Copyright 2010 American Chemical Society).

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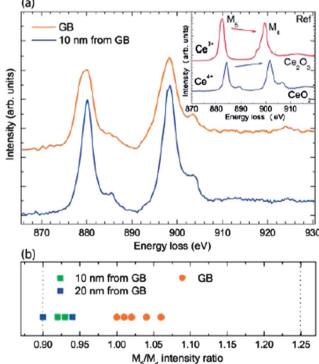


Fig. 35 (a) Typical Ce  $M_{4,5}$ -edge EELS spectra taken from the grain

boundary region and from the grain interior region. The inset shows

reference spectra from ref. 190 obtained for CeO2 and Ce2O3, corre-

sponding to valence states of Ce4+ and Ce3+, respectively. (b) Variation of

the M<sub>5</sub>/M<sub>4</sub> intensity ratio calculated by the positive part of second

derivative of the experimental spectra at several grain boundary regions

and grain interior regions. (Reprinted with permission from ref. 189.

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observed even in the grain boundary core region and the grain boundary was made of repeating structural units marked by

quadrilaterals in Fig. 34a and b. The presence of oxygen

vacancies at the grain boundaries was also confirmed by EELS

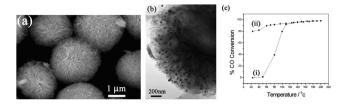
As discussed above, ceria is a technologically important functional material for many applications. In the following sections, a few typical application examples regarding nanostructured ceria will be selectively reviewed.

#### 6.1. CO oxidation

Nanostructured CeO<sub>2</sub> materials loaded with noble metals are promising catalysts for the elimination of toxic auto-exhaust gases,<sup>4,5</sup> low-temperature water-gas shift (WGS) reaction,<sup>6,7</sup> and the preferential oxidation of traces of CO in a large hydrogen excess (PROX).<sup>192</sup> In the case of Au-supported catalysts, factors such as Au particle size, preparation method, pretreatment conditions, and choice of the support may have an impact on activities of the final catalysts.<sup>66,150</sup> In particular, the support material could have a significant effect on the performance of the catalysts.

Carbonaceous soot can be oxidized below 300 °C by a catalyst containing silver and ceria, however, the oxidation rate is inadequate and deterioration arising from sintering of silver usually occurs. Kayama et al.<sup>193</sup> recently fabricated a CeO<sub>2</sub>-Ag nanomaterial with a rice-ball configuration that is different from encapsulated materials with a core-shell configuration in which nanosized metal particles are surrounded by polymerized oxide.<sup>194</sup> This unique characteristic makes it suitable for application as a catalyst. Fig. 36b shows that CeO2-Ag oxidizes soot much more efficiently below 300 °C than CeO<sub>2</sub> and Ag-CeO<sub>2</sub> (a conventional supported catalyst consisting of equimolar silver and ceria), which oxidize soot mainly above 300 °C. These results show that catalysts containing silver and ceria lower the temperature of soot oxidation, although oxidation by the catalyst without the rice-ball nanostructure is inefficient at low temperatures below 300 °C.193 Thus, the rice-ball nanostructure is an innovative catalyst configuration.

Haruta suggested that the catalytic activity of gold nanoparticles for CO oxidation depends strongly on the gold nanoparticle-support contact area.<sup>195</sup> Our group reported for the first time that flowerlike CeO<sub>2</sub> microspheres were used as catalyst supports for the CO oxidation reaction.<sup>115</sup> From the typical SEM and TEM images (Fig. 37a and b), it can be seen clearly that the gold nanoparticles were highly dispersed and distributed evenly onto the surface of CeO<sub>2</sub> nanosheets. The average size of Au nanoparticles is about 2–30 nm. By loaded with Au on flowerlike CeO<sub>2</sub> microspheres, the redox properties and the catalytic

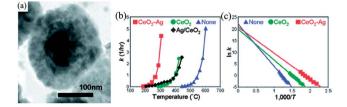


**Fig. 37** (a) Typical SEM and (b) TEM images of the Au-loaded  $CeO_2$  microspheres; (c) catalytic activity of CO oxidation over Au supported on CeO<sub>2</sub> catalysts: (i) bulk mesoporous CeO<sub>2</sub> powder loaded with Au (2.82 wt%), (b) flowerlike CeO<sub>2</sub> microsphere loaded with Au (2.77 wt%). (Reprinted from ref. 115 with permission from Elsevier Ltd.)

activities toward CO oxidation have been improved. The loading of Au onto CeO<sub>2</sub> support completely modifies its temperatureprogrammed reduction (TPR) profile. The Au loaded CeO<sub>2</sub> microsphere exhibits an excellent low-temperature catalytic activity toward CO oxidation. The CO gas over the Au-loaded CeO<sub>2</sub> microsphere starts rapidly its conversion into CO<sub>2</sub> at room temperature, reaching above 80% (Fig. 37c). The enhanced performance was attributed to its open three-dimensional porous structure, large pore volume and higher oxygen vacancy level in CeO<sub>2</sub> microspheres. An excellent catalytic activity toward CO oxidation was also observed in the catalyst of flowerlike CeO<sub>2</sub> microspheres loaded with 15wt.% CuO.<sup>115</sup>

The physical and chemical properties of ceria can be tuned by doping. Low-temperature reducibility is enhanced by doping with reducible elements, such as Pr and Sn.<sup>196–198</sup> Xiao *et al.*<sup>114</sup> prepared Y, La, Zr, Pr, and Sn doped ceria with a flowerlike structure and studied their catalytic activity toward CO oxidation. As shown in Fig. 38, Pr- or Sn-doped flowerlike ceria catalysts exhibit excellent catalytic activities, which was ascribed to the variable valence states of Pr and Sn.<sup>196,198</sup> In contrast, the doping of Y, La or Zr in CeO<sub>2</sub> exhibit adverse effects on the activity of CO oxidation. This may result from the fact that the doping cations with stable valence state partially suppress the conversion of the redox Ce<sup>4+</sup>/Ce<sup>3+</sup> couple and decrease the dynamic oxygen storage capacity (OSC) in ceria.

Designing and synthesizing a highly reactive/selective catalyst with well-defined crystal planes has been a challenging goal in heterogeneous catalysis.<sup>199–206</sup> Li and coworkers reported that single-crystalline CeO<sub>2</sub> nanorods are more active for CO



**Fig. 36** (a) TEM image of the rice-ball nanostructured  $CeO_2$ -Ag; (b and c) Properties compared with conventional catalysts and the absence of a catalyst: (b) Evaluations of carbonaceous soot oxidation; (c) Arrhenius plots. (Reprinted with permission from ref. 193. Copyright 2010 American Chemical Society).

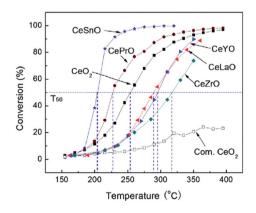


Fig. 38 Conversion profile of carbon monoxide *versus* temperature. (Reprinted from ref. 114 with permission from Elsevier Ltd.)

oxidation than irregular nanoparticles (Fig. 39).<sup>98</sup> The higher CO oxidation activity was ascribed to the more reactive crystal planes {001} and {110} in nanorods. This result indicates that better-performing catalysts with high reactive sites can be rationally designed. The shape-dependent OSC of ceria nano-crystals with various shapes (nanopolyhedra, {111} and {100}); nanorods, {110} and {100}, and nanocubes, {100}) have been reported by Yan *et al.*<sup>97</sup>

Recently, a direct relationship between the concentration of oxygen vacancy clusters with a larger size and the reactivity of nanosized ceria were also revealed by Li's group.<sup>203</sup> They prepared two ceria nanorod samples with different oxygen vacancies using different cerium salts during solvothermal synthesis. HRTEM analysis (Fig. 40) indicate that the A sample predominately exposes the {100} and {111} planes, whereas the {100} and {110} planes are predominately exposed in the B sample. It was also found that there were many "dark pits" on the A sample while fewer were on the B sample. In contrast to the previous theoretic study that {111} is the least active surface followed by {100} and {110} planes,<sup>204</sup> their results showed that A sample was more active than B sample for CO oxidation. By

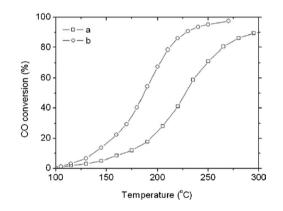
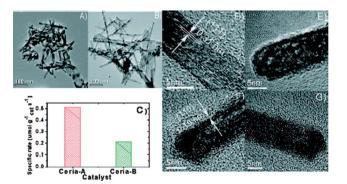


Fig. 39 Percentage conversion *versus* temperature plots for the oxidation CO over (a) CeO<sub>2</sub> nanoparticles and (b) CeO<sub>2</sub> nanorods. (Reprinted from ref. 98 with permission from Elsevier Ltd.)



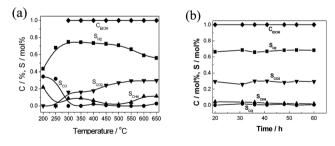
**Fig. 40** (A) TEM images of ceria-A sample, (B) TEM images of ceria-B sample, (C) graph showing the specific rate for CO oxidation on ceria. *Reaction conditions*: the molar ratio for CO/air/N<sub>2</sub> was 1 : 16 : 83, with a contact time (W F<sup>-1</sup>) of 75 g<sub>cat</sub> h mol<sub>CO</sub><sup>-1</sup>; reaction temperature 160 °C, (D and E) HRTEM images of ceria-A sample, (F and G) HRTEM images of ceria-B sample. (Reprinted with permission from ref. 203. Copyright 2009 American Chemical Society).

positron annihilation spectrum (PAS), a non-destructive spectroscopy technique to study voids and defects in solids, and temperature-programmed CO reduction (TPR) analysis, they found that there were higher concentrations of oxygen vacancy clusters with larger size in the A sample while some isolated vacancy associates predominated in the B sample. Therefore, the enhanced reactivity of the ceria-A sample was attributed to the larger vacancy clusters coupled with the adjacent Ce<sup>3+</sup> ions, which provide effective adsorption sites for CO molecular.<sup>203</sup>

Another impressive example of a strong crystal plane-dependent catalytic activity of Au–CeO<sub>2</sub> catalysts for the WGS reaction has also been demonstrated by Flytzani-Stephanopoulos's group.<sup>205</sup> They prepared Au–CeO<sub>2</sub> catalysts by two-step process of hydrothermal synthesis of ceria nanocrystals followed by deposition/precipitation of gold. Different surfaces of CeO<sub>2</sub> nanoshapes were found to bind gold differently.<sup>206</sup> The {110} surfaces of CeO<sub>2</sub> nanorods bind and fully disperse gold (<1 nm), while gold particles ( $\sim$ 3 nm) were almost exclusively formed on the {100} surfaces of the CeO<sub>2</sub> nanocubes. The rod-shaped ceria enclosed by {110} and {100} planes is most active for gold stabilization/activation.

#### 6.2. Steam reforming of alcohol for producing hydrogen

The use of biomass-derived alcohol for the production of hydrogen has significant interest for clean energy supply and environmental protection.<sup>207,208</sup> The flowerlike CeO<sub>2</sub> microspheres as mentioned above were used also in a reforming catalyst for steam reforming of ethanol producing H<sub>2</sub>. Catalytic activity is evaluated in terms of ethanol conversion and the selectivity of products. The catalyst "selectivity" of products was defined as the mole fraction of each product.<sup>112</sup> Fig. 41a shows the typical experimental results of flowerlike CeO<sub>2</sub>–Cu catalyst, in which the selectivity of each product and the conversion of ethanol are shown as a function of reaction temperature in the temperature range of 200–650 °C.<sup>112</sup> A hydrogen-rich gas mixture was produced in a wide temperature range (300–500 °C). No liquid products were detected, such as acetone or



**Fig. 41** (a) Effect of reaction temperature (200–650 °C) on conversion of ethanol ( $C_{EtOH}$ ) and on selectivity of hydrogen ( $S_{H_2}$ ), carbon monoxide ( $S_{CO}$ ), carbon dioxide ( $S_{CO_2}$ ), and methane ( $S_{CH_4}$ ), obtained over the flowerlike CeO<sub>2</sub>–Cu catalyst. *Experimental conditions*: mass of the catalyst 1.37 g, particle size 0.45–0.9 mm, H<sub>2</sub>O/EtOH mol ratio 3 : 1, liquid flow rate 0.05 cm<sup>3</sup> min<sup>-1</sup>, P = 1 atm. (b) Conversion of ethanol and selectivity of products as function of time-on-stream, obtained over the flowerlike CeO<sub>2</sub>–Cu catalyst sample reduced by hydrogen for 2 h at 400 °C. *Experimental conditions*: T = 550 °C, others are the same as those given in (a). (Reprinted with permission from ref. 112. Copyright 2006 American Chemical Society).

acetaldehyde. At 300 °C, typical steam reforming of ethanol and water–gas shift reactions occurred obviously, the H<sub>2</sub> selectivity reached the maximum value of 74.9 mol%, while CO was not detected within the precision of gas chromatography. The high-temperature hydrothermal stability of this novel structure catalyst was also examined at 550 °C. Fig. 41b shows clearly that the catalyst kept excellent stability for steam reforming of ethanol at high temperature. These catalytic properties are better than those of Ni/La<sub>2</sub>O<sub>3</sub>,<sup>209</sup> Ni–Rh–CeO<sub>2</sub> and Rh/CeO<sub>2</sub>–ZrO<sub>2</sub> catalysts.<sup>210,211</sup>

The effects of CeO<sub>2</sub> morphology on the catalytic activity of ethanol reforming was revealed by Hsiao *et al.*<sup>212</sup> HRTEM analysis indicated that the exposed crystal planes are {110} and {100} for nanorods but {100} for nanocubes. The catalysts asprepared showed good H<sub>2</sub> selectivity compared to that of irregular CeO<sub>2</sub> nanoparticles. Both nanorods and nanocubes with {110} and {100} dominant surfaces showed initially enhanced catalytic activity of ethanol reforming, however, the activities gradually decreased with time because of an altered CeO<sub>2</sub> morphology at 800 °C. The authors suggested that a possible way to maintain the stability of CeO<sub>2</sub> nanocrystals is doping with another stable metal, such as Ti or Zr.<sup>212</sup>

Flytzani-Stephanopoulos *et al.*<sup>213</sup> found that a small amount (<1 at.%) of fully dispersed gold on ceria nanorods exhibits good catalytic activity and selectivity for the low-temperature (<250 °C) methanol steam reforming reaction. Fully dispersed gold (<1 nm) on the {110} surfaces of ceria nanorods catalyze the steam reforming of methanol (SRM) reaction. In contrast, gold nanoparticles (~3 nm) on the {100} surface of ceria nanocubes are inactive for both the SRM and WGS reactions.<sup>213</sup>

#### 6.3. Solid oxide fuel cells

In recent years, considerable attention has been focused on solid oxide fuel cells (SOFCs) due to their potential for providing clean and reliable electric power.14,15 It has been reported that ceriabased ion conductors have a high resistance to carbon deposition,13,15,214,215 which permits the direct supply of dry hydrocarbon fuels to the anode. Gorte's group first demonstrated directly electrochemical oxidation of the available hydrocarbons fuels ranging from methane to toluene using a solid oxide fuel cell at 973 and 1073 K with a composite anode of copper and ceria (or samaria-doped ceria).<sup>13</sup> In this anode design, Cu is used primarily as the current collector and CeO<sub>2</sub> imparts catalytic activity for the oxidation reactions. An advantage of Cu/CeO<sub>2</sub>/ YSZ anodes is its high sulfur tolerance. The cell with Cu/CeO<sub>2</sub>/ YSZ anodes can operate on fuels with sulfur contents up to  $\sim$ 400 ppm without significant loss in performance.<sup>216</sup> In addition, these anodes also have inherently high redox stability.

Ceria nanoparticles were added to a conventional Ni/YSZ anode by infiltration of an aqueous solution of cerium nitrate followed by heating in air to effectively enhance its capability of sulfur tolerance.<sup>217</sup> Fig. 42 displays the results obtained for cells with and without adding ceria while operating on  $H_2S-H_2$ mixtures at 973 K. For the cell without ceria, the addition of 40 ppm  $H_2S$  to the  $H_2$  stream caused the cell voltage to drop to zero within several minutes. In contrast, for the cell with an anode loading with CeO<sub>2</sub> nanoparticles, the addition of 40 ppm  $H_2S$  caused the cell voltage to drop from 0.78 to 0.6 V in several minutes, but then the performance was kept relatively stable for

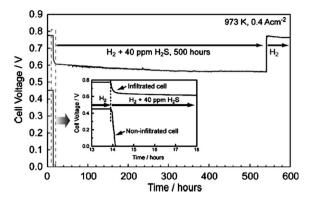
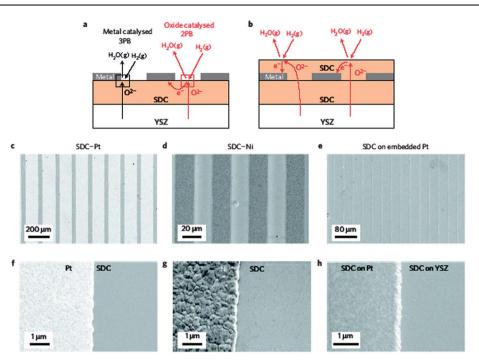


Fig. 42 Cell voltage as a function of time for SOFC operated with a fuel consisting of  $H_2$  and  $H_2$  plus 40 ppm  $H_2S$ . Ceria was added to the Ni/YSZ anode in one of the cells by infiltration. (Reproduced from ref. 217 by permission of ECS- The Electrochemical Society.)

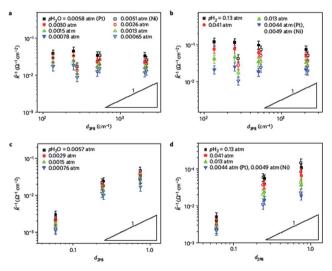
over 500 h. The initial performance was also regained when the fuel was switched back to pure  $H_2$ . One interpretation for this result is that the initial decay was due to poisoning of Ni and then ceria acted as the electrocatalyst during the period with lower and stable performance.<sup>217</sup>

The presence of CeO<sub>2</sub>-based oxides in the anodes of SOFCs with oxygen-ion-conducting electrolytes significantly lowering the activation overpotential for hydrogen oxidation has been demonstrated in numerous literature. However, porous and composite electrode structures with ill-defined geometry and uncontrolled interfacial properties have been widely used in most studies.<sup>218</sup> Haile's group recently demonstrated clearly, through the use of ceria-metal structures with well-defined geometries and interfaces (Fig. 43),<sup>218</sup> that the near-equilibrium  $H_2$  oxidation reaction pathway was dominated by electrocatalysis at the oxide-gas interface with minimal contributions from the oxidemetal-gas triple-phase boundaries, even for structures with reaction-site densities approaching those of commercial SOFCs.<sup>218</sup> On varying the metal-catalysed reaction-site (3PB) density by a factor of 16 while holding the ceria-catalysed reaction-site (2PB) density constant, it was observed that the electrochemical activity remained essentially unchanged and was moreover independent of the choice of metal (Fig. 44). The same behavior has been observed across a wide range of hydrogen and water vapor partial pressures. This finding demonstrates ceria nanostructuring may be an effective route to enhanced activity, rather than the traditional paradigm of metal-catalyst nanostructuring.218

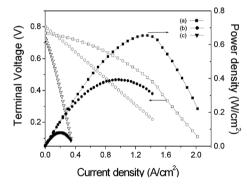
In 2005, Barnett's group reported a new SOFC that combines a Ru–CeO<sub>2</sub> catalyst layer with a conventional anode, allowing internal reforming of iso-octane without coking, making this solid oxide fuel cell a promising candidate for practical and efficient fuel cell applications.<sup>219</sup> The cell with Ce<sub>0.85</sub>Sm<sub>0.15</sub>O<sub>1.925</sub> (SDC) as electrolyte yielded maximum power densities of ~0.35 W cm<sup>-2</sup> at 570 °C, a value comparable to those reported for other SDC based electrolyte cells operated on H<sub>2</sub>. However, as pointed by the authors, one of the drawbacks to the catalyst layer is that it reduces the rate at which fuel can diffuse to the anode, thereby decrease cell power density. We improved the structure of the fuel cells by using the porous flowerlike CeO<sub>2</sub> microsphere as the support of catalysts.<sup>119</sup> As shown in Fig. 45, the fuel cell with a



**Fig. 43** Patterned metal on thin-film ceria as a model system to study competing electrochemical reactions. (a) Schematic depicting the two macroscopic reaction sites present in a ceria–metal patterned electrode and the corresponding surface reaction and bulk diffusion steps. Bulk pathways involving the metal are not shown. (b) Patterned electrode with an embedded current collector that eliminates contribution from the metallic phase. (c–h) Planar SEM images of corresponding electrode structures recorded after electrochemical characterization under H<sub>2</sub>–H<sub>2</sub>O–Ar atmospheres at 650 °C. (SDC = Sm<sub>0.2</sub>Ce<sub>0.8</sub>O<sub>1.9– $\delta$ </sub>, YSZ = Y<sub>0.16</sub>Zr<sub>0.84</sub>O<sub>1.92</sub>). (Reprinted from ref. 218 with permission from Nature Publishing Group.)



**Fig. 44** Electrochemical activity in ceria–metal model electrodes. Filled symbols, Pt; open symbols, Ni. (a–d) Activity as a function of the metalcatalysed, 3PB reaction-site density at 650 °C (with the nominal 2PB density held constant at 0.5) (a and b) and the ceria-catalysed, 2PB reaction-site density (with the nominal 3PB density held constant at 125 cm<sup>-1</sup>) (c and d)  $\bar{R}$  represent resistance values normalized by the total electrode area. In (a) and (c), the hydrogen partial pressure is held constant at ~0.0058 atm (Pt) and at ~0.0052 atm (Ni); in (d), the water vapor pressure is held constant at ~0.0058 atm (Pt) and at ~0.0057 atm. Error bars indicate the variation in the electrode resistance of nominally identical ceria films deposited simultaneously. (Reprinted from ref. 218 with permission from Nature Publishing Group.)



**Fig. 45** Voltage and power density *versus* current density for the anode supported SOFCs: (a) with a catalyst layer, flowerlike CeO<sub>2</sub> microspheres loaded with Ru, (b) with a catalyst layer, bulk mesoporous CeO<sub>2</sub> powder loaded with Ru, (c) without a catalyst layer, tested in 5% iso-octane-9% air-3%H<sub>2</sub>O-83%CO<sub>2</sub> at 100 ml min<sup>-1</sup> in the anode and ambient air in the cathode at 600 °C. (Reprinted from ref. 119 with permission from Elsevier Ltd.)

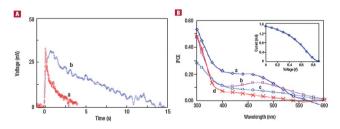
Ru/flowerlike CeO<sub>2</sub> catalyst layer generates a maximum power density up to 0.654 W cm<sup>-2</sup> at 600 °C. The enhanced performance was ascribed to improved mass transport processes in the porous structure of flowerlike microspheres. When tested with biogas as fuel, the cells also showed good performance.

#### 6.4. Photocatalysis

Heterogeneous photocatalysis is an emerging technique valuable for renewable energy as well as water and air purification and remediation.<sup>220</sup> Corma *et al.* prepared a hierarchically structured mesoporous material by self-assembly of 5 nm CeO<sub>2</sub> pretreated nanoparticles in the presence of a structure directing agent (poly(alkylene oxide) block polymer).<sup>221</sup> The walls of this hexagonal structured CeO<sub>2</sub> material are formed from the primary nanoparticles. The material possesses large pore volumes, high surface areas, and marked thermal stability. It also exhibits a photovoltaic response (Fig. 46), which is directly derived from the nanometric particle size because normal CeO<sub>2</sub> does not show this response. Organic-dye-free solar cells using nanometric ceria particles (in both mesostructured and amorphous forms) as the active component, show efficiencies dependent on the illuminating power.<sup>221</sup>

At present, developing efficient photocatalytic systems for water splitting is urgently needed since it can eventually lead to the production of solar fuels.<sup>222-225</sup> In spite of the large research effort in the field of photocatalysis for visible-light water splitting, the number of semiconductors that have decent photocatalytic activity under visible-light illumination is still limited, and the vast majority of the studies are carried out with modified TiO2.225-227 A novel efficient CeO2-based photocatalysts with visible-light activity has been developed recently by Primo et al. with comparable or higher efficiency than those currently known.<sup>228</sup> Fig. 47 shows the selected plots of the oxygen formed over the time for visible light illumination for Au-CeO<sub>2</sub> catalysts. It indicates that 1.0wt%Au renders a more efficient photocatalyst than 3.0wt%Au. With UV irradiation, the ceria sample (A) prepared by the novel biopolymer template procedure with a smaller particle size is far more efficient than the commercial  $CeO_2$  (B) with a larger particle size. Interestingly, for titanium oxide and other semiconductors, the photocatalytic activity under UV is considerably reduced using visible light, whereas the performance for Au-CeO<sub>2</sub> is better using visible light. It is worth noting that with the use of  $Au-CeO_2$  (A) the final moles of oxygen evolved are higher than those obtained for WO<sub>3</sub> with UV light.

CeO<sub>2</sub> nanomaterials have also been used in photocatalytic hydrogen production. Oriented hexagonal CeO<sub>2</sub> nanorods (NRs) with {110} planes as the main exposed surfaces were directly



**Fig. 46** Comparison of the charge current and spectral response of  $CeO_2$  nanoparticles and  $TiO_2$ . (A) temporal profile of the voltage measured for cells comprising a film of semiconductor between a transparent conductive glass and an aluminum counter electrode on 355 nm laser excitation (5 mJ per pulse width). (a)  $TiO_2$ ; (b)  $CeO_2$ . The signals correspond to a single laser shot, and have not been averaged. (B) IPCE *versus* wavelength for a solar cells based on (a)  $La^{3+}$ –CeO<sub>2</sub>, (b)  $Zr^{4+}$ –CeO<sub>2</sub>, and (c) CeO<sub>2</sub>. For comparison, (d) the photoresponse of an analogous solar cell based on  $TiO_2$ , has also been included. Inset, photocurrent-voltage plot of a solar cell based on  $La^{3+}$ –CeO<sub>2</sub>. (Reprinted from ref. 221 with permission from Nature Publishing Group.)

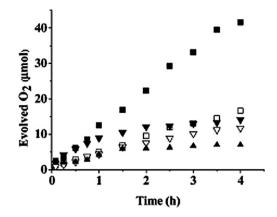
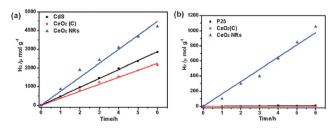


Fig. 47 (a) Oxygen evolved upon visible light ( $\lambda$  > 400 nm) illumination of an aqueous AgNO<sub>3</sub> suspension containing the photocatalysts. ■ Au (1.0 wt%)/CeO<sub>2</sub> (A); □ Au (1.0 wt%)/CeO<sub>2</sub> (B); ▼ Au(3.0 wt%)/CeO<sub>2</sub> (A); ⊽ Au (3.0 wt%)CeO<sub>2</sub> (B); ▲ WO<sub>3</sub>. CeO<sub>2</sub> (A) was prepared by biopolymer template synthesis while CeO<sub>2</sub> (B) was obtained from Aldrich. (Reprinted with permission from ref. 228. Copyright 2011 American Chemical Society).

grown on Ti substrates *via* a simple template-free electrochemical method.<sup>229</sup> The photocatalytic activity of CeO<sub>2</sub> NRs was studied in water splitting reaction and compared with that of commercial CeO<sub>2</sub>, CdS and TiO<sub>2</sub> (P25). Fig. 48 shows the hydrogen evolution rates of samples with Na<sub>2</sub>S–Na<sub>2</sub>SO<sub>3</sub> as sacrificial agents. It can be seen that the activity of CeO<sub>2</sub> NRs is higher than those of commercial CeO<sub>2</sub> and CdS. The hydrogen evolution rate of CeO<sub>2</sub> NRs reached 741 µmol g<sup>-1</sup>. With methanol as a sacrificial agent, the hydrogen evolution rate of CeO<sub>2</sub> and P25 (Fig. 48b). Furthermore, the photocatalytic activity of CeO<sub>2</sub> NRs in the Na<sub>2</sub>S–Na<sub>2</sub>SO<sub>3</sub> system is about four times higher than that in the methanol system, indicating the consumption of holes in Na<sub>2</sub>S–Na<sub>2</sub>SO<sub>3</sub> aqueous solution.<sup>229</sup>

For environmental remediation,  $CeO_2$  nanomaterials have also potential application. Recently, Tang *et al.*<sup>230</sup> demonstrated that  $CeO_2$  nanotubes exhibited a markedly enhanced photocatalytic activity and stability compared with the counterpart of  $CeO_2$  nanoparticles and commercial TiO<sub>2</sub> (P25) toward the degradation of aromatic benzene, a well-known toxic pollutant that commonly occurs in urban ambient air and is of significant concern regarding environmental health because of its toxic,



**Fig. 48** Hydrogen production rates of samples in (a)  $Na_2S-Na_2SO_3$  (0.43 M:0.5 M) mixture solution, and (b) 20vol% methanol aqueous solution. (Reprinted from ref. 229 with permission from Royal Society of Chemistry.)

mutagenic, or carcinogenic properties. Fig. 49 shows the timeonline photocatalytic results for the gas-phase degradation of benzene over the samples of CeO<sub>2</sub>-NTs, CeO<sub>2</sub>-NPs and P25. During the reaction of 22 h, the sample of CeO<sub>2</sub>-NT exhibits the best photocatalytic performance toward the gas-phase degradation of benzene in view of the constant conversion ratio of benzene. After the reaction of 10 h, the produced amount of CO<sub>2</sub> over CeO<sub>2</sub>-NTs is nearly stable at 29 ppm. In contrast, the samples of both CeO2-NPs and commercial P25-TiO<sub>2</sub> nanoparticles display a quite unstable photocatalytic activity toward the gas degradation of benzene. For the CeO<sub>2</sub>-NP sample, the conversion ratio of benzene at the initial stage is 2.2%; it is decreased to 1.4% after reaction for 22 h. The improved performance was ascribed to the enhanced light absorption and scattering of the 1D nanotube geometry.230

In addition, significant progresses have been achieved recently in enabling the thermochemical cycles based on cerium oxide redox reactions to produce solar fuels.<sup>231</sup> Haile et al.<sup>232</sup> demonstrated the production of H<sub>2</sub> from H<sub>2</sub>O and of CO from CO<sub>2</sub> using a solar cavity-receiver containing porous monolithic ceria. The same group also reported CeO2-doped with 15atomic% samarium as an efficient system to produce syngas (from water and CO<sub>2</sub>, in the absence of a metal catalyst) or methane (in the presence of Ni as the catalyst).<sup>233</sup> The oxide is pretreated at 1500 °C (24 h) in an oxygen-free atmosphere and then interacted with a flow of water and oxygen in a carrier gas to generate hydrogen, methane, and CO. Steinfeld et al.<sup>234</sup> demonstrated syngas production by simultaneous splitting of H<sub>2</sub>O and CO<sub>2</sub> via a thermochemical redox cycle inside a solar cavity-receiver containing porous ceria felt directly exposed to concentrated thermal radiation. In the first endothermic step at 1800 K, ceria is thermally reduced to an oxygen deficient state. In the second exothermic step at 1100 K, syngas is produced by re-oxidizing ceria with a gas mixture of H<sub>2</sub>O and  $CO_2$ . Ten consecutive  $H_2O/CO_2$  gas splitting cycles have been performed for 8 h, yielding a constant and stable syngas composition.

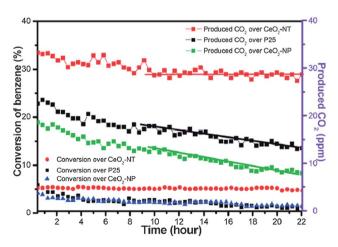
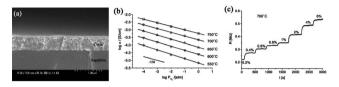


Fig. 49 Time-online data for gas-phase photocatalytic degradation of benzene over the samples of commercial P25,  $CeO_2$  nanoparticles (NPs) and the as-prepared  $CeO_2$  nanotubes (NTs). (Reprinted from ref. 230 with permission from Royal Society of Chemistry.)

#### 6.5. Sensors

Measurement and control oxygen content are critical in many areas such as the environment, industry, transportation, medicine and agriculture. Solid state electrolyte oxygen type sensors are widely used in these areas. Jasinski *et al.*<sup>9</sup> prepared a nanocrystalline undoped ceria oxygen sensor consisting of a thin film of CeO<sub>2</sub> with platinum interdigital electrodes. The dense thin films of CeO<sub>2</sub> were prepared by spin coating a polymer precursor solution onto a sapphire substrate (Fig. 50a). The electrical conductivities of thin film ceria as a function of oxygen partial pressure and temperature are shown in Fig. 50b. They follow  $(P_{O2})^{-1/4}$  behavior for the selected temperature range from 550 to 750 °C with only a very small deviation. The relationship



**Fig. 50** (a) Cross-section of  $CeO_2$  thin film on sapphire, (b) electrical conductivity of  $CeO_2$  thin film on sapphire, (c) the time response of the ceria sensor DC resistance on the changes of oxygen concentration. (Reprinted from ref. 9 with permission from Elsevier Ltd.)

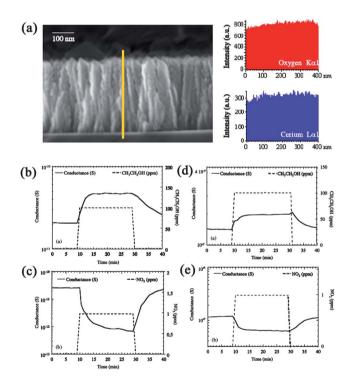


Fig. 51 (a) Representative cross-sectional SEM image of columnar CeO<sub>2</sub> specimen obtained at 350 °C on Si (100) and the corresponding line acan for O K<sub> $\alpha$ 1</sub> and CeL<sub> $\alpha$ 1</sub> along the marked line; (b) CH<sub>3</sub>CH<sub>2</sub>OH and (c) NO<sub>2</sub> sensing curves of the columnar CeO<sub>2</sub> nanostructures deposited on Al<sub>2</sub>O<sub>3</sub> at 400 °C; (d) CH<sub>3</sub>CH<sub>2</sub>OH and (e) NO<sub>2</sub> sensing curves of a continuous CeO<sub>2</sub> layer deposited on Al<sub>2</sub>O<sub>3</sub> at 400 °C. In all the cases, the working temperature and relative humidity were 200 °C and 40%, respectively. (Reprinted from ref. 236 with permission from IOP Publishing Ltd.)

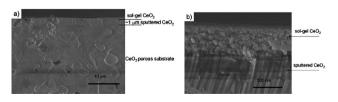
 $(P_{O2})^{-1/4}$  corresponds to extrinsic charge compensation, indicating the concentration of oxygen vacancies related to the acceptor impurity concentration. These results are in contrast to Beie's results,<sup>8</sup> in which the sensor followed  $(P_{O2})^{-1/6}$  behavior and was attributed to intrinsic charge compensation. This difference may be explained by different levels of purity of ceria and different microstructure of the films.<sup>235</sup>

Another impressive example of sensor applications is columnar CeO2 nanostructures, shown in Fig. 51a, prepared by Barreca et al. with a chemical vapor deposition (CVD) on Si(100) and Al<sub>2</sub>O<sub>3</sub> substrates.<sup>236</sup> Fig. 51b and c present the isothermal conductance variation for a representative columnar CeO<sub>2</sub> specimen upon exposure to 100 ppm ethanol (a reducing species) and 1 ppm NO<sub>2</sub> (an oxidizing gas), respectively, at a working temperature of 200 °C. This particular ethanol concentration value is lower than the detection limit required for a breath analyzer (200 ppm, corresponding approximately to 0.6 g alcohol per liter in human blood).<sup>237</sup> The sensor response was 1.3 at the relatively low working temperature while the response for a continuous CeO<sub>2</sub> thin film is only 0.38 (Fig. 51d). Upon introducing NO<sub>2</sub> as a square concentration pulse of 1 ppm into the test chamber, the columnar CeO<sub>2</sub> nanostructured displays a conductance decrease (Fig. 51c) due to the electron-withdrawing effects following the direct NO2 adsorption onto the specimen surface.<sup>238</sup> The sensor response at 200 °C was 50 times higher than that of the continuous film under the same working conditions (Fig. 51e). The higher sensitivity in the detection of gaseous ethanol and NO<sub>2</sub> with respect to continuous CeO<sub>2</sub> thin films demonstrates the promise of development of nanosized CeO<sub>2</sub> sensor devices.<sup>236</sup>

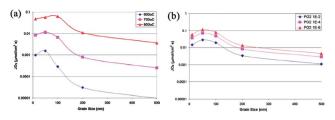
#### 6.6. Oxygen permeation membranes

Nanocrystalline CeO<sub>2</sub> thin films were fabricated on porous cerium oxide substrates with both sol–gel and sputtering deposition techniques and studied for oxygen permeation membranes.<sup>239</sup> The sputtered cerium oxide buffer layer can be seen to grow in a columnar shape from the substrate–film interface (Fig. 52). The high-magnification SEM image, Fig. 52b, clearly shows the columnar nature of the sputtered layer with a mean column diameter of 90 nm and the dense sol–gel layer with a mean grain size of 50 nm and a film thickness of 500 nm.

Fig. 53 shows the effect of oxygen partial pressure driving force on the predicted permeation of nanocrystalline CeO<sub>2</sub> membranes at 800 °C. The flux predictions were calculated given a constant feed side partial pressure of 0.21 atm, while the downstream concentration of oxygen was varied between  $10^{-2}$ 



**Fig. 52** SEM images: (a) Large view of porous substrate, 1 µm sputtered cerium oxide layer and dense sol–gel cerium oxide layer, (b) close-up of dense sol–gel cerium and sputtered cerium oxide buffer layer. (Reproduced from ref. 239 by permission of ECS - The Electrochemical Society.)



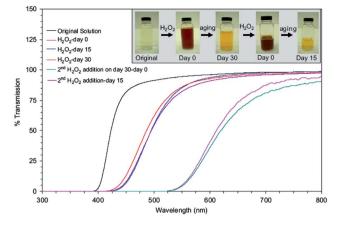
**Fig. 53** (a) Oxygen flux  $[\mu \text{mol cm}^{-2} \text{ s}^{-1}]$  as a function of grain size and temperature (b) Oxygen flux  $[\mu \text{mol cm}^{-2} \text{ s}^{-1}]$  at 800 °C as a function of grain size and oxygen partial pressure on the permeate side varying from  $10^{-2}$  to  $10^{-6}$  atm. All were calculated from the Wagner relation equation with size-dependent data from ref. 240. (Reproduced from ref. 239 by permission of ECS - The Electrochemical Society.)

and 10<sup>-6</sup>, resulting in an oxygen flux varying from 0.028 to 0.114  $\mu$ mol cm<sup>-2</sup>. In the absence of surface limitations, the oxygen flux can exceed 1 µmol cm<sup>-2</sup> s<sup>-1</sup> for films with ionic conductivities in the order of  $10^{-3}$  S cm<sup>-1</sup>, approaching the flux target of oxygen-air separation membranes by using solely CeO<sub>2</sub> as a single material system. The CeO<sub>2</sub> thin film showed a measurable oxygen flux of 0.014  $\mu$ mol cm<sup>-2</sup> s<sup>-1</sup> at 800 °C, confirming mixed ionic and electronic conductivities and demonstrating the feasibility of utilizing nanocrystalline CeO<sub>2</sub> as an oxygen separation membrane with grain size as a design parameter.<sup>239</sup> The electronic conduction is introduced by the nanocrystalline materials structure rather than second phase additions, for example, noble metal additions commonly added in the dual phase membranes. This result is consistent with recent results reported by Haile's group using ceria nanostructuring as a route to enhance activity, rather than the traditional paradigm of metal-catalyst nanostructuring.218

#### 6.7. Biomedical applications

Recent researches have demonstrated that ceria nanoparticles possess antioxidant activity at physiological pH values, and the potential uses of these materials in biomedical applications, such as protection against radiation damage, oxidative stress, and inflammation.<sup>22,23,241–244</sup> The ability of these nanoparticles to act as an antioxidant lies in their ability to reversibly switch from  $Ce^{3+}$  to  $Ce^{4+,23}$  Moreover, the synthesis of biocompatible dextran-coated nanoceria (DNC) and its enhanced stability in aqueous solution has been recently reported.<sup>242</sup> Conjugation with targeting ligands makes ceria nanoparticles an effective nanocatalyst and detection tool in immunoassays.

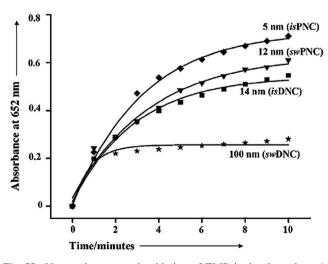
Das *et al.* evaluated the auto-catalytic anti-oxidant behavior and biocompatibility of cerium oxide nanoparticles for applications in spinal cord repair and other diseases of the central nervous system.<sup>241</sup> Ceria nanoparticles show a mechanism that the engineered particle as a new material for life science applications with remarkable antioxidant activity and pseudo-infinite half-life. The auto-regenerative anti-oxidant property of these nanoparticles is the key to its neuroprotective action. To demonstrate the auto-catalytic property of the engineered ceria nanoparticles, they studied the UV-visible spectroscopy of a nano-ceria sol treated with 10 mM hydrogen peroxide (Fig. 54). In this reaction, hydrogen peroxide provides a source of hydroxyl radicals to mimic oxidative stress found *in vivo*.<sup>241</sup> The



**Fig. 54** UV-visible study of cerium oxide nanoparticles treated with hydrogen peroxide at different time intervals. (Reprinted from ref. 241 with permission from Elsevier Ltd.)

UV-visible spectrum of a sample containing the nano-ceria solution was used as the control (black trace-in the graph). They added hydrogen peroxide to the solution and observed a shift in the spectrum to the right or to the lower energy portion of the spectrum (pink trace). This shift is postulated to be due to a change in the oxidation state from  $Ce^{3+}$  to  $Ce^{4+}$ . The nano-ceria sample treated with hydrogen peroxide was then kept in the dark for 30 days. UV-visible spectra of these samples were then taken at day 15 and day 30 (blue and red traces for the day 15 and day 30 spectra, respectively). A gradual shift in the spectra to the left was observed over time. This gradual higher energy shift suggests the regeneration ( $Ce^{4+} \rightarrow Ce^{3+}$ ) of the ceria nanoparticles.<sup>241</sup>

Asati *et al.*<sup>22</sup> reported that ceria nanoparticles possess unique oxidase-like activity, as they can facilitate the fast oxidation of organic dyes and small molecules in slightly acidic conditions without the need of hydrogen peroxide. They studied the effect of the nanoparticle size on the catalytic activity of nanoceria. As



**Fig. 55** Nanoceria-promoted oxidation of TMB is size-dependent. At pH 4.0, smaller ceria nanoparticles show higher oxidase-like activity than larger nanoparticles. (Reprinted from ref. 22 with permission from John Wiley and Sons, Inc.)

shown in Fig. 55, their results showed that nanoceria's ability to oxidize 3,3',5,5'-tetramethylbenzidine (TMB) varies with nanoparticle size in the order *is*PNC (5 nm) > *sw*PNC (12 nm) > *is*DNC (14 nm) > *sw*DNC (100 nm). Interestingly, the nanoparticles with a thin poly-(acrylic acid) coating (*is*PNC) have a higher catalytic activity than those with a thicker dextran coating (*sw*DNC). These results demonstrate that this unique aqueous oxidase-like of nanoceria can be used in a wide range of new potential applications in biotechnology, environmental chemistry, and medicine.<sup>22</sup>

Radiation therapy has been one of the most widely utilized procedures for the treatment of cancer. During this process, free radicals are formed through ionizing reactions that are then capable of destroying normal tissues. Thus, normal cells can be protected from radiation while the tumor cells are targeted. The related researches have been an important area of interest since the 1950s. Tarnuzzer et al.,23 for the first time, demonstrated nanoceria can confer radioprotection to a normal human breast line but not to a human breast tumor line, MCF-7. The antioxidant function of nanoceria was ascribed to the presence of the mixed valence state of Ce3+ and Ce4+ on the surface, induced by the oxygen vacancies.<sup>23</sup> Scavenging the free radicals generated by irradiation was achieved by changing its oxidation state from Ce<sup>3+</sup> to Ce<sup>4+</sup> in ceria nanoparticles. Another complex surface chemical reaction may be related to renewing the oxidation state from Ce<sup>4+</sup> to Ce<sup>3+</sup>. They suggested that there is an auto-regenerative reaction cycle ( $Ce^{3+} \rightarrow Ce^{4+} \rightarrow Ce^{3+}$ ) continuing on the surface of ceria nanoparticles and this may be the mechanism by which it provides the material with a remarkable antioxidant activity.23 The auto-regenerative antioxidant property of these nanoparticles is a key component of its radio-protective action.

Recent studies have shown that free radical scavenging can be achieved by the introduction of CeO<sub>2</sub> nanoparticles into mammalian cells. The efficacy of CeO2 nanoparticles to scavenge reactive oxygen species has also been demonstrated in vivo. Tsai et al<sup>245</sup> prepared crystalline CeO<sub>2</sub> nanoparticles with an average particle size of 3.7 nm by a reverse micelle method. When tested in vitro, the CeO<sub>2</sub> nanoparticles were deposited in the cytoplasm of insulin-secreting cells and the intracellular concentration of CeO<sub>2</sub> nanoparticles reached 0.35 pg per cell. Their results demonstrated CeO<sub>2</sub> nanoparticles are effective free radical scavengers within  $\beta$ TC-tet cells. The CeO<sub>2</sub> nanoparticles provide an effective means to improve cellular survival in settings wherein cell loss due to oxidative stress limits native function.245 Many potential applications in medicine can be expected to benefit from the free radical scavenging abilities of CeO<sub>2</sub> nanoparticles.

Concern of the safety of engineered nanomaterials must be well addressed before their applications. The toxicity of ZnO,  $CeO_2$  and TiO<sub>2</sub> has been compared recently based on dissolution and oxidative stress properties.<sup>246,247</sup> The results showed that CeO<sub>2</sub> nanoparticles can suppress the generation of reactive oxygen species (ROS) production and induced cellular resistance to an exogenous source of oxidative stress and protect cells from oxidant injury. Ceria nanoparticles have very low or no toxicity and are long-lived and can confer their beneficial effect for extended periods of time without redosing.<sup>248,249</sup>

#### 7. Conclusions and outlook

This review highlights the recent progress in the preparation, properties, new characterization approaches, and theoretical study of nanostructured ceria-based materials. Some typical applications regarding ceria-based nanomaterials have also been demonstrated. With great progress being made in the synthesis of nanostructured ceria-based materials, there are fascinating new opportunities and challenges for materials scientists. Although considerable attention has been devoted to synthesis of ceria nanomaterials in the past years, future studies should focus more on a better understanding of how synthetic techniques, composition, size, and morphology affect the properties of materials. In this aspect, theoretical calculation can provide a guideline on the rational design of highly reactive CeO<sub>2</sub>-based catalysts. It has been demonstrated that ceria not only works as a support for catalytic reactions, but also functions as an electronic modulator for the electron-transfer process in some catalytic processes. The valence and defect structure of CeO<sub>2</sub> play an important role for various applications. The control of the density and the nature of oxygen vacancies could provide a means for tailoring the reactivity of ceria-based catalysts. Thus, precise selection and control of metal-ceria interfaces in designing catalysts could lead to better activity and selectivity for a specific catalytic reaction. The combination of new characterization approaches, e.g. STM, DFM, and modern aberration-corrected TEM, with the firstprinciples calculations can well present the surface and subsurface properties of ceria and thus clarify the reactions occurring at the interfaces of catalysts. Simulation method is an efficient way to understand the formation mechanism of nanomaterials from the atomic level. An approach based on predictive simulations and the first principles calculations is necessary in accelerating the identification or design of appropriate CeO<sub>2</sub>-based nanomaterials as well.250

While some encouraging results have been achieved, the development of simple and cost-effective synthetic and fabrication processes for CeO2-based nanomaterials are still desirable and essential for many applications. Solvothermal, hydrothermal synthesis and electrospinning<sup>251</sup> are promising methods for preparing nanoarchitectures in energy related applications. In addition, the long-term stability of the CeO<sub>2</sub> nanomaterials under high-temperature and reaction conditions is of potential concern. However, good hydrothermal stability has been showed in porous flowerlike CeO<sub>2</sub> microspheres. In the future, ceriabased nanomaterials will play more important role in energy conversion (e.g., fuel cells and the renewable production of fuels from solar energy), energy storage (e.g., lithium-air batteries), environmental protection and remediation (e.g., treatment of toxic contaminants), as well as the new field of biomedical applications (e.g., anti-oxidant agent, free radical scavenging and immunoassays).

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