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Superconductivity in an Orbital-Reoriented SnAs Square Lattice: A Case Study of Li_{0.6}Sn₂As₂ and NaSnAs

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Abstract: Searching for functional square lattices in layered superconductor systems offers an explicit clue to modify the electron behavior and find exotic properties. The trigonal SnAs₃ structural units in SnAs-based systems are relatively conformable to distortion, which provides the possibility to achieve structurally topological transformation and higher superconducting transition temperatures. In the present work, the functional As square lattice was realized and activated in Li_{0.6}Sn₂As₂ and NaSnAs through a topotactic structural transformation of trigonal SnAs₃ to square SnAs₄ under pressure, resulting in a record-high T_c among all synthesized SnAs-based compounds. Meanwhile, the conductive channel transfers from the out-of-plane p_{τ} orbital to the in-plane $p_x + p_y$ orbitals, facilitating electron hopping within the square 2D lattice and boosting the superconductivity. The reorientation of p-orbital following a directed local structure transformation provides an effective strategy to modify layered superconducting systems.

Introduction

Attributing the emergent properties of compounds to structural units is naturally a reductionism standpoint, but is highly desirable and easily operable to understand, manipulate, and predict the performance of the systems under various physical conditions. In this aspect, the well-defined square lattice plane in high transition temperature (T_c) superconductors, e.g., cuprates^[1,2] and iron-based^[3,4] systems is deemed as an indispensable ingredient and plays a unique role in penetrating the puzzle of underlying pairing mechanisms. The cuprates share the square Cu-O plane where the electrons propagate through the Cu d-orbitals with the super-exchange interaction of oxygen p-orbitals.^[5-7] Adding an apical oxygen to enhance the out-of-plane dispersion of the electron will localize the in-plane electronic wave function, and ultimately lower the maximum T_c . [8] Similarly, the key ingredient of iron-based superconductors is the spatial repetition of FeAs/FeSe tetrahedron units to form a layered structure with alternating square lattices of iron and As/Se planes, [9-13] in which the distortion of the FeSe from

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Suzhou Laboratory Jiangsu 215123 (China) planar-tetragonal to 3D-trigonal connections under high pressure is accompanied by the complete loss of superconductivity (SC).[14] From a stereochemistry perspective, the square tiling can make full use of the orthogonal d- and p-orbitals, allowing for easy charge carrier hopping between adjacent lobes. [15] Thus, searching for novel layered systems with square motifs is a promising strategy for exploring high- T_c superconductors.

SnAs-based compounds are such auspicious candidates, where the T_c s of layered compounds are surprisingly lower than their 3D counterparts. Layered SnAs-based compounds have a diverse range of combinations with various alkali,[16-19] alkaline-earth,[20] rare-earth metals,[21] and even molecule clusters, [22] resulting in highly tunable carrier concentrations and stacking sequence. Despite their structural flexibility, however, they are often semiconducting or barely superconducting at low temperatures of 1.1-1.3 K. [16-22] The binary SnAs with a rock-salt structure (Fm-3m), in contrast, has a relatively higher T_c of 4 K.^[23,24] A naive interpretation of the lowered T_c in layered-SnAs compounds is related to the trigonal pyramid SnAs₃ unit. It is the steric effect of the misaligned p orbitals that somehow impede electron flow within the superconducting layer. Finding ways to modulate the SnAs₃ structural units to realize a square lattice offers a viable path to enhance SC.

High pressure can create atypical materials by repopulating atomic orbitals and reconstructing functional units without altering the chemical composition. [25-28] Herein, we explore the possibility of obtaining high- T_c superconductor by constructing square-lattice configurations under pressure, employing layered metallic Li_{0.6}Sn₂As₂ and semiconducting NaSnAs as examples. Theoretical structure search predicts a topotactic transformation of the functional units from SnAs₃ to SnAs₄, accompanied by a trigonal-tetragonal phase transition, which is verified by our in situ synchrotron

diffraction measurements. Prominently, tetragonal $Li_{0.6}Sn_2As_2$ shows the highest T_c of 7.5 K among all the synthesized SnAs-based compounds that is six times higher than its ambient value. Pressurized NaSnAs exhibits a similar SnAs₃-SnAs₄ transformation and enhanced SC, but with a more complex three-stage phase transformation from semiconductor to two successive superconducting phases. Theoretical analyses of their orbital components reveal an intriguing charge redistribution from out-of-plane to inplane, which enhances electron hopping and contributes to the record high T_c in the newly discovered SnAs square

Results and Discussion

Ambient structures (α phase) of Li_{0.6}Sn₂As₂ (R3m, Figure 1a) and NaSnAs (P63mc, Figure S1) share the similar trigonal pyramid of SnAs₃ building blocks, with the intercalation of alkali metals in between one or two SnAs layers. As shown in Figure 1c, the electrons from 5p of Sn, 4p of As and one extra electron from the Li+/Na+ construct the bonding state of SnAs₃, leaving a lone pair from $5s^2$ dangling along the c axis, away from the $SnAs_3$ pyramid to create the canonical lone-pair electrons. [26,29,30] Due to the fully occupied Sn-As bonds and the repulsive interaction of lone-pair electrons, NaSnAs turns out to be a semiconductor with a band gap of 0.31 eV.[31] It is therefore easy to understand the metallic nature of Li_{0.6}Sn₂As₂ (0.3 e/SnAs) and NaSn₂As₂ (0.5 e/SnAs) with reduced electron doping, which further become superconducting with similar T_c of 1.5 K (Note that Li_{0.6}Sn₂As₂ is a newly discovered superconductor, and its properties are shown in Figures S2 and S3). Given that the electrons move within the SnAs plane in a zigzag manner (illustrated as dashed curve in Figure 1c), it is possible to

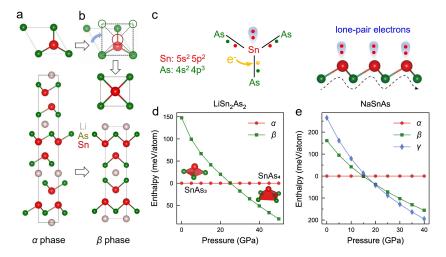


Figure 1. Theoretical prediction of the structure transition of LiSn₂As₂ and NaSnAs. Top and side-views of LiSn₂As₂ at a) ambient pressure (R3m, α phase) and b) high pressure (I4/mmm, β phase) predicted by the CALYPSO package. c) Bonding environment of SnAs-layered compounds and the illustration of the zigzag-propagation of electrons within the ab plane. The lone-pair electrons are denoted by light blue droplets. d) Relative enthalpy of LiSn₂As₂ as a function of pressure. The insets depict the trigonal (SnAs₃) and tetragonal (SnAs₄) pyramids. e) Relative enthalpy of $P6_3mc$ (α phase), I4/mmm (β phase) and P4/mmm (γ phase) for NaSnAs as a function of pressure. The enthalpy of the α phase is taken as a reference.

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alter the SnAs₃ configuration by pressure, which may facilitate the flow of electrons. Therefore, we begin with determining the structure under pressure through theoretical structure search package CALYPSO.^[32,33] During the structure searching, the size of unit cells is limited from 2 up to 4 formulas for each stoichiometry (see Figure S4). Both the generation sizes and the number of generations were set to 30 for getting a converged result.

Our structural searches up to 30 GPa reveal that a new phase with a space group of I4/mmm (β phase) is the most stable one. We superimposed the β phase on the α phase as open circles to illustrate the structural transformation (Figure 1b and Figure S5). The SnAs₃ building blocks only need to bear a mild distortion to realize this transformation. This is quite reasonable if considering that the coordination numbers generally increase under high pressure to homogenize the electron distribution. [34,35] We check the pressuredependent enthalpy of the β phase with respect to that of the α phase from 0 to 50 GPa in Figure 1(d). Beyond a critical pressure of around 25 GPa, the β phase overwhelms the α phase in energy. Figure S6 shows the phonon dispersion of the β phase at 30 GPa, which does not have any imaginary frequencies, indicating the stability of the high-pressure phase. It is worth noting that the tetragonal pyramid configuration of SnAs₄ has not been previously

We also did the structural search of NaSnAs under pressure. At low pressure, NaSnAs undergoes a structural transformation from the α phase to the β phase at 15 GPa, with the lowest enthalpy in the Na-Sn-As system. However, calculations at 30 GPa predict that another tetragonal phase with the space group P4/mmm (γ phase) is the most stable. Figure S7 depicts the successive structural transformation of the three phases. The γ phase can be viewed as a change in the stacking sequence of the Na spacer layer from the β phase, requiring every other Na layer transmits through its adjacent SnAs layers. The β phase is predicted to be stable in a pressure window of 15-20 GPa, as indicated by the pressure-dependent formation enthalpy shown in Figure 1e. Obviously, the interlayer transmission of Na from the β phase to γ phase should be more energetically costly than the intralayer SnAs₃ to SnAs₄ transformation. Nonetheless, both β phase and γ phase share the identical SnAs₄ building block.

To verify the $SnAs_3$ - $SnAs_4$ transformation, we performed an in situ synchrotron diffraction experiments on $Li_{0.6}Sn_2As_2$ at various pressures ranging from ambient pressure to 48.0 GPa. All diffraction peaks show a systematic shift until 25 GPa and can be indexed into the α phase (Figure S8). An additional peak at 15.5° gradually arises as pressure above 25 GPa, signaling a pressure-driven phase transition. When the pressure is increased to 40 GPa, the initial peaks corresponding to the α phase become almost indistinguishable. We carried out Rietveld refinements on each synchrotron x-ray diffraction pattern, and put three typical profiles of 48.0 GPa, 32.8 GPa, and 1.88 GPa in Figure 2a. We refined the pattern of 48.0 GPa based on the theoretically predicted β phase and obtained the agreement factors of $R_p = 0.84$ % and $R_{wp} = 5.3$ %, which are comparable

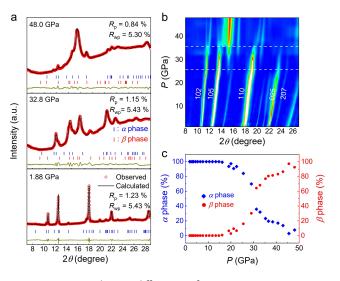


Figure 2. In situ synchrotron diffractions of $\text{Li}_{0.6}\text{Sn}_2\text{As}_2$ at pressures. a) Three Rietveld refinements profiles of $\text{Li}_{0.6}\text{Sn}_2\text{As}_2$ at 48.0 GPa, 32.8 GPa and 1.88 GPa. b) Color contour plot of the pressure-dependent diffraction peaks from 1.88 to 48.0 GPa. c) Pressure-mediated volume fraction of the α phase and β phase extracted from refinement.

to the ambient refinement values of $R_{\rm p} = 1.21\,\%$ and $R_{\rm wp} = 5.43\,\%$, respectively. A two-phase refinement against the pattern of 32.8 GPa produces the best fitting result. We note that the diffraction pattern depressurized phase is almost identical to the initial one with mild peak broadening (Figure S9), indicating the reversibility of the SnAs₃-SnAs₄ transformation.

The contour plot of the diffraction patterns reveals more details of the structural transition (Figure 2b). The critical pressure of 25 GPa is clearly with the emergence of new diffraction peaks at 15.5° and 20.2°. An interesting observation is that the diffraction peaks corresponding to the α phase (102, 105, 110, 025, and 207 in particular) exhibit a consistent kink at 25 GPa, indicating substantial lattice distortion in the α phase as an intermediate transition state. A qualitative analysis of the volume fractions of α and β phase extracted from Rietveld refinements are shown in Figure 2c. The structure transforms in a progressive way from 20 to 45 GPa.

Another notable thing is the dramatic change in bond lengths under pressure. Figure S10 labels the Sn–As and As–As distances at 0 and 30 GPa. The out-of-plane As–As distance is reduced from 4.04 Å to 2.58 Å and the in-plane As–As distance shrinks abruptly from 4.01 Å to 3.51 Å along with the SnAs₃-SnAs₄ transformation. However, the Sn–As bond is increased to 2.97 Å, which is even larger than the ambient value of 2.72 Å. The elongation of the Sn–As bond suggests that the prior conduction channel through As–Sn–As is greatly impeded, whereas direct hopping between As–As anions within the square lattice should be much favored.

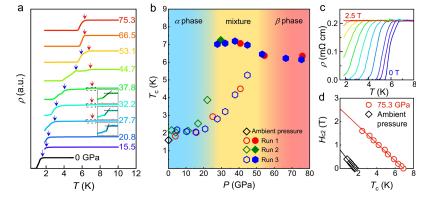
The increased coordination numbers, elongated Sn–As bonds, and collapsed As–As distance induced by the SnAs₃-SnAs₄ transformation significantly affect the electronic transport properties. The α -Li_{0.6}Sn₂As₂ exhibits only one

superconducting transition below 27.7 GPa, with its T_c gradually increasing from 1.3 K to 5.3 K (blue arrows in Figure 3a). Noticeably, a drop in resistivity at 7.5 K is observed at 27.7 GPa (enlarged rectangle shown in Figure 3a), which is consistent with the emergence of the β phase around 25.0 GPa. To the best of our knowledge, this is the highest T_c experimentally realized in the SnAs-based compounds, six times higher than the ambient value. As pressure increasing, the decline in resistivity gets more pronounced, yet its transition temperature remains nearly constant (red arrows in Figure 3a). All the raw data are shown in Figure S11. We confirm that this newly discovered kink in resistivity is superconductive according to the gradual suppression of the T_c under magnetic fields (Figure 3c). The superconducting drop corresponding to the α phase is seen at 53.1 GPa, indicating the survival of the trace α phase. This is also in line with our estimation of the volume fraction shown in Figure 2c.

Figure 3b reveals the $T_{\rm c}$ evolutions of the α phase and β phase in response to pressure. The T_c in the β phase remains nearly constant at moderate pressure (25.0-45.0 GPa) and then decreases somewhat. Their T_c s become to be one transition above 53.0 GPa. Given that the structure at high pressure is dominated by the β phase, the remaining superconducting transition should be attributed to the SnAs₄ arrangement. Note that the maximum T_c is achieved in the mixture phase rather than the pure β phase. A simple but plausible explanation for this could be that the T_c -pressure diagram of the beta phase has a dome-like shape, with its maximum T_c occurring around 35 GPa. However, other factors such as the potential enhancement of superconductivity at the interface in the mixture phase cannot be ruled out and merit further investigation. Figure 3d shows the $H_{c2}(0)$ of α (0 GPa) and β (73 GPa) phases. Linear extrapolation shows a higher $H_{c2}(0)$ in the β phase.

The ensuing transition from α to β to γ phases in NaSnAs is investigated by transport measurements. NaSnAs undergoes a semiconductor-metal transition under lower pressure, then becomes SC at ≈ 10 GPa (shown in Figure S12). Its T_c slowly increases to 3.6 K at 35 GPa, then suddenly jumps to 6.8 K. While the onset pressure of the first superconducting phase at ≈10 GPa is qualitatively consistent with the theoretical pressure of α - β phase transition, the pressure of T_c 's jump is higher than our theoretical prediction of 20 GPa (Figure 1e). This is understandable because the predicted γ phase is a thermally equivalent ground state. Since our in situ high-pressure measurements are carried out in a DAC cell at room temperature, the energy barrier for the interplane migration (β - γ) should be much higher than the intraplane ion displacement $(\alpha-\beta)$. Despite their different carrier doping levels, the charge-balanced NaSnAs achieves a comparable T_c to that of the β -Li_{0.6}Sn₂As₂, indicating the highest T_c in the SnAs system is determined the common building block of SnAs₄ in terms of structure.

The structural transformation of SnAs₃-SnAs₄ can lead to charge rearrangements of conducting electrons. As shown in Figure S13, the Fermi level (E_F) in LiSn₂As₂ is mostly composed of As's 4p and Sn's 5p orbitals, with the 4s and 5s orbitals lying far below the $E_{\rm F}$. We plot the orbital projected density of states (PDOS) in Figure 4a. At ambient pressure, the PDOS of As's p_z component at 0.98 states/eV is higher than the sum of its p_x and p_y orbital (0.76 states/eV). This feature is more prominent for Sn because the intensity ratio of $p_z/(p_x+p_y)$ reaches 3.6 at the E_F . Specifically, the spectra weights of p_z and $p_x + p_y$ are reversed for both As and Sn at high pressure. Specifically, the enhancement of the PDOS of As's $p_x + p_y$ is 4 times higher than that of the p_z component. The SnAs₃-SnAs₄ transformation induces a prominent charge redistribution from the out-of-plane (p_z) orbital to the in-plane (p_x, p_y) ones. We perform Crystal Orbital Hamilton Population (COHP) calculations for the α and β phases. As shown in Figure 4b, the α phase is dominated by Sn-As bonding states below -1 eV, with a small component at the $E_{\rm F}$. In the β phase, however, the out-of-plane As-As bonding component of inter SnAs₄ units overwhelms that of Sn-As bonding of intra unit, and dominates the $E_{\rm F}$ by As-As anti-bonding. Moreover, the integrals of COHP (ICOHP) up to the $E_{\rm F}$ for Sn-As and As-As are -3.16 and -0.08 eV/pair in the α phase, and change to -1.48 and -3.89 eV/pair in the β phase, respectively. This suggests that



 $\textbf{\textit{Figure 3.}} \ \ \text{Pressure-dependent SC in Li}_{0.6} Sn_2 As_2. \ a) \ \ \text{Temperature-dependent resistivity of Li}_{0.6} Sn_2 As_2 \ \ \text{under pressure.} \ \ \text{Insets are the enlargement of li}_{0.6} Sn_2 As_3 \ \ \text{long}_{0.6} Sn_2 As_3 \ \ \text{long}_{0.6} Sn_3 As_3 \ \ \text{lon$ resistivity at higher temperatures. Blue and red arrows indicate the onset T_c of α and β phases. b) Superconducting phase diagram of $\text{Li}_{0.6}\text{Sn}_2\text{As}_2$ under pressure. An intermediate pressure region mixes two transition temperatures. c) Resistivity of the β phase (75.3 GPa) from 1.5 K–8 K at different magnetic fields. d) Fitting of the upper critical fields for the ambient (black squares) and high pressure (red circles) phases.

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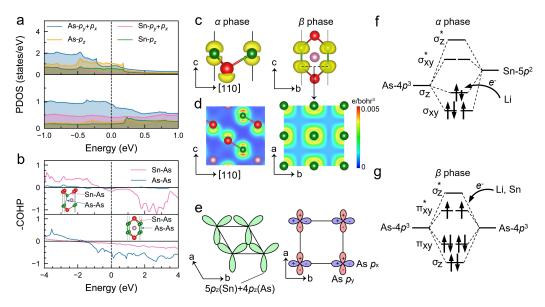


Figure 4. Charge redistribution and orbital reorientation from SnAs₃-SnAs₄ transformation in LiSn₂As₂. a) Projected density of states (PDOS) and b) -COHP for α phase at 0 GPa (upper panel) and β phase at 30 GPa (lower panel), respectively. Insets in b) show the interaction of As–As and Sn–As. Positive values indicate bonding states, and negative ones are antibonding states. c–e) Partial charge density around the E_F ($-0.2 \text{ eV} \approx 0.2 \text{ eV}$) with iso-value 0.0025 e/Bohr³, section projections, and illustration of bond connections of the α phase (left) and β phase (right), respectively. f–g) Molecular orbital diagram of the Sn–As bonding state in the α phase and out-of-plane As–As antibonding state in the β phase. Note that extra electrons in the π_w * band come from the donation of Li and Sn.

the interaction of Sn–As dominates in the α phase, while that of As–As prevails in the β phase.

We plot the partial electron distribution near the $E_{\rm F}$ (-0.2–0.2 eV) to see the charge distribution. For the α -LiSn₂As₂, the valence electrons mainly gather around Sn and As atoms and are oriented along the c axis (Figure 4c, left). Thus the electrons can mainly migrate along As–Sn–As via zigzagging through the shared $5p_z$ - $4p_z$ orbitals (Figure 4d left panel and Figure 1c right panel). Once the SnAs₃ is transformed into SnAs₄, the charge redistributions from p_z to p_x+p_y are clearly seen in the right panels of Figure 4c and 4d. We examined the partial electron distribution of the β -LiSn₂As₂ in each energy sector. With the electron filling from the lowest occupied σ bond to the σ * bond, their respective electron dispersion in real space is shown in Figure S14.

Summarizing all the analyses above, we provide a simple molecular orbital diagram to understand the evolution of bond connections in LiSn₂As₂ (Figure 4f and g). The out-ofplane As-As interaction drives the E_F sitting on the π_{xy}^* band with the extra electron donation from Li and Sn, which well explains the calculated dominating $p_x + p_y$ PDOS (lower panel in Figure 4a) and the As-As anti-bonding (lower panel in Figure 4b). Considering the orthogonal configuration of p_x and p_y orbitals, the electron is more likely to migrate within this As-square lattice than in the triangle ones (Figure 4e). The abrupt shrinkage in the As-As distance from 4 Å to 3.5 Å, on the other hand, reinforces inplane hopping via overlapping of the orthogonal $p_x + p_y$ lobes. This reminds us of the highly tunable Bi-Bi distance from 3.85 to 4.08 Å in Bi-based square lattice R_2O_2Bi (R=rare earth metals), accompanied by a metal-insulator transition at a shortened Bi–Bi distance of 3.95 Å in $Sm_2O_2Bi^{[36]}$ and a subsequent SC at 3.87 Å in $Y_2O_2Bi^{[37]}$

As our simulations are all based on the fully occupation of Li in LiSn₂As₂, the influence of Li vacancies is carefully checked. To this end, we create a $3\times3\times1$ superlattice and randomly removed 7 out of 18 Li atoms in the supercell corresponding to Li_{0.61}Sn₂As₂. Figure S15 shows the partial charge density at 30 GPa near E_F (-0.2-0.2 eV) of the model. It again shows a p_x/p_y conducting character, indicating that the Li vacancy does not influence our main result.

The electronic states in the pressurized NaSnAs resemble those of $\text{Li}_{0.6}\text{Sn}_2\text{As}_2$. As shown in Figure S16, the E_F of both β and γ phases are dominated by p_x+p_y orbitals. The 2D feature of the electron dispersion becomes more prominent in the γ phase (Figure S17). It is the charge transfer from p_z to p_x+p_y that facilitates the direct hopping of electrons. Given the similarity of charge distribution in the SnAs₄ unit, we conclude that the orbital reorientation of As's p orbitals in a square lattice should be responsible for the similar T_c in both compounds.

Conclusion

In this work, a new kind of structure unit $\operatorname{SnAs_4}$ is realized in $\operatorname{SnAs-based}$ compounds through a topotactic phase transformation in both $\operatorname{Li_{0.6}Sn_2As_2}$ and NaSnAs . Thanks to the thus-formed As square lattice and the dramatic shrinkage of the in-plane As—As distance from $\operatorname{SnAs_3}$ to $\operatorname{SnAs_4}$ transformation, the probability of in-plane hopping through $p_x + p_y$ lobes is much enhanced. Theoretical calculations show a charge redistribution of the electron near the E_F from p_z

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orbital to $p_{\rm x}+p_{\rm y}$ orbitals, further facilitating the electron transportation and the subsequent record-high $T_{\rm c}$ in all reported SnAs-based compounds. Our findings verify the effectiveness of modulating SC through directed local structure tailoring of flexible layered compounds.

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Conflict of Interest

The authors declare no conflict of interest.

Data Availability Statement

The data that support the findings of this study are available from the corresponding author upon reasonable request.

Keywords: Charge Redistribution • High Pressure • Orbital Reorientation • SnAs Square Lattice • Superconductivity

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